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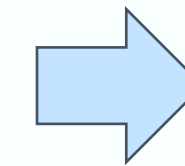
InSAR for Mining

Giacomo Falorni
2 October 2024



- ❑ Basic Principles of InSAR
- ❑ InSAR processing & data precision
- ❑ InSAR Capabilities, limitations and program design considerations
- ❑ What's next

- ❑ **Basic Principles of InSAR**
- ❑ InSAR processing & data precision
- ❑ InSAR Capabilities, limitations and program design considerations
- ❑ What's next



- **SAR satellites**
- **InSAR fundamentals**

What is InSAR?

Interferometric Synthetic Aperture Radar

Remote sensing technique for measuring ground deformation

- using data from radar satellites
- advanced algorithms
- without ground instrumentation

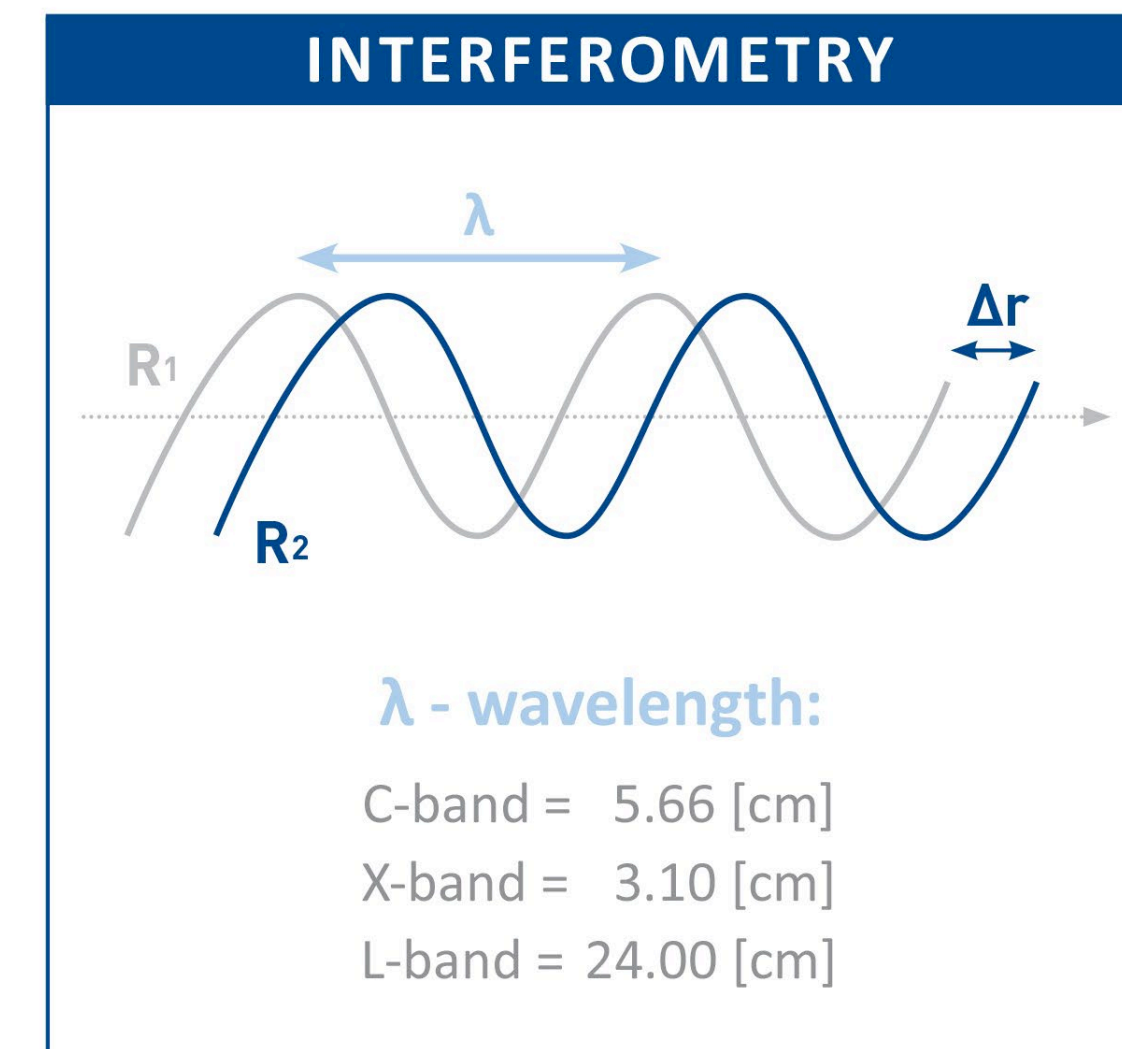
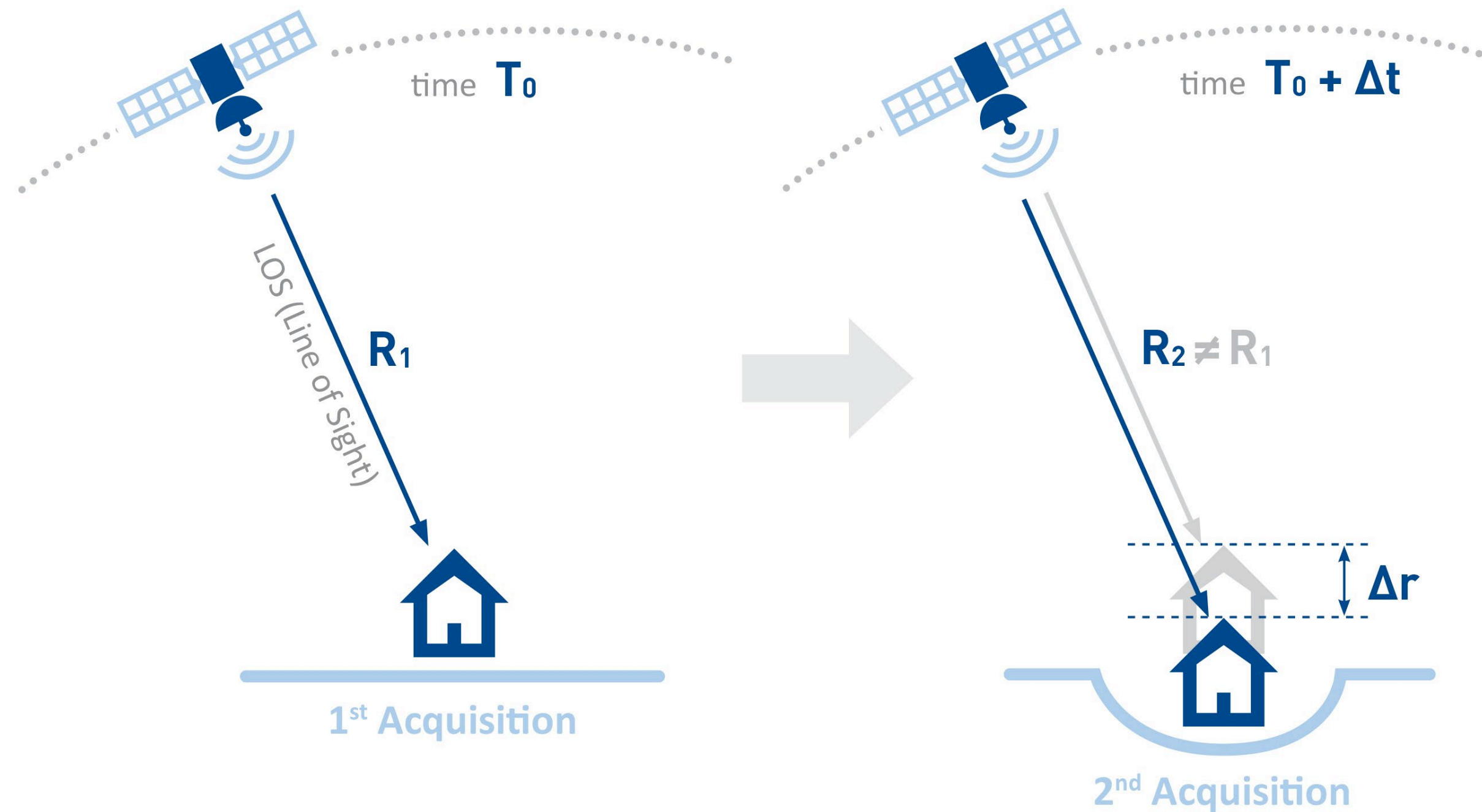


SAR Satellites



- ❑ Polar, sun synchronous orbits
- ❑ Active systems – don't require sunlight
- ❑ All-weather systems
- ❑ Fixed revisit frequency (4, 7, 11, 12 ... days)
- ❑ View the ground surface at an off-vertical angle
- ❑ First SAR satellite launched in 1992

InSAR – measuring phase differences

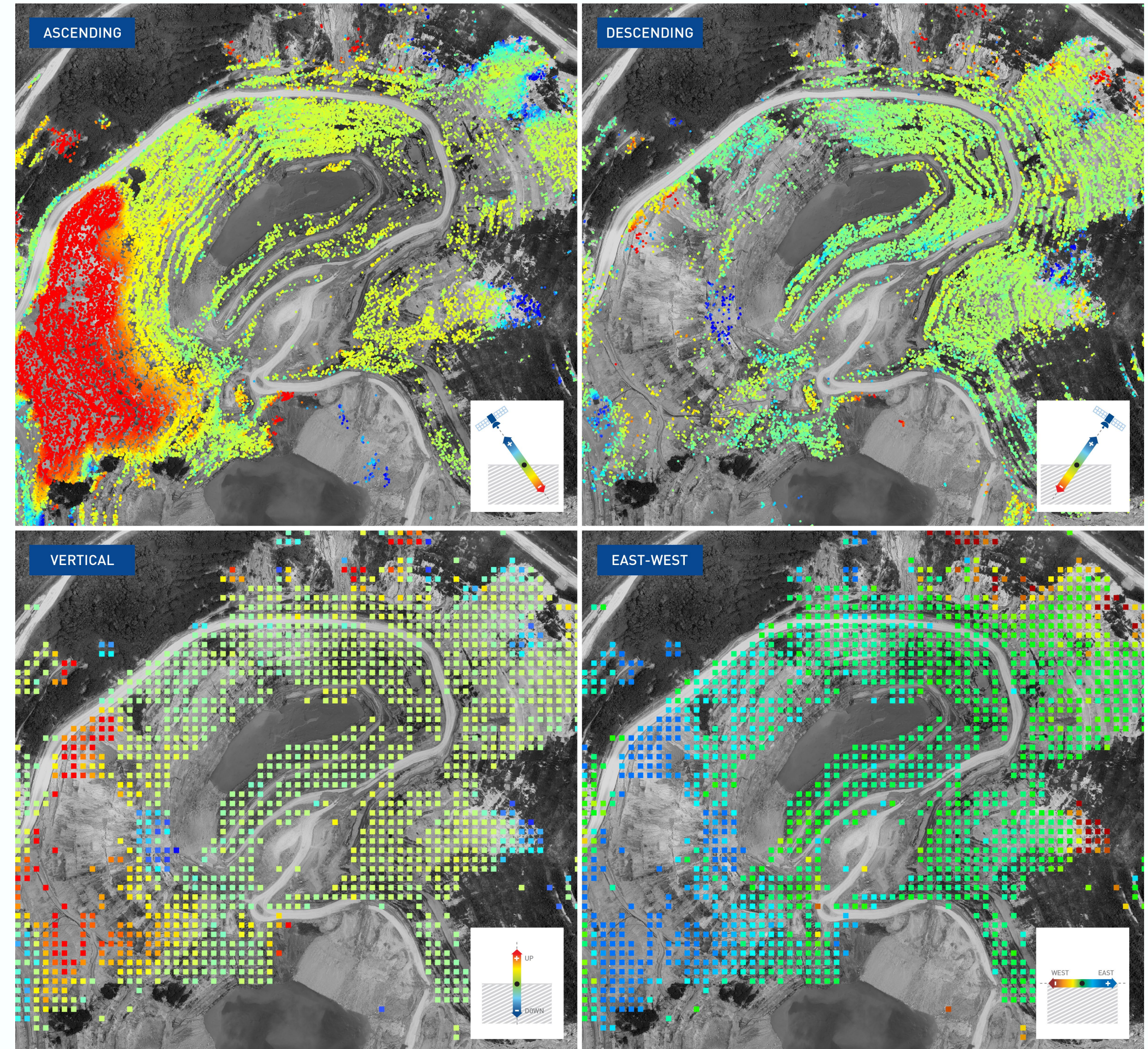


$\Delta\phi$ between two SAR images is proportional to the movement of the object on the ground

$$\Delta\phi = \frac{4\pi}{\lambda} \Delta R + \alpha$$

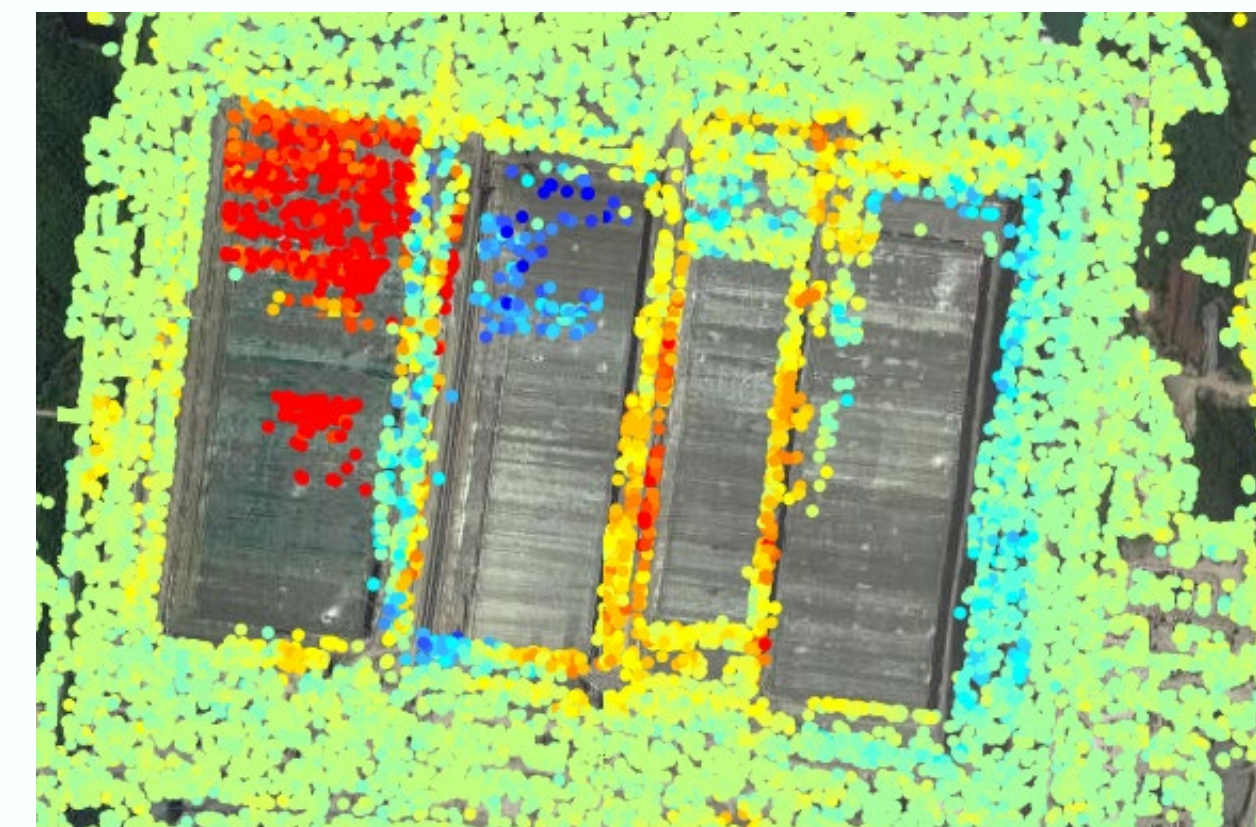
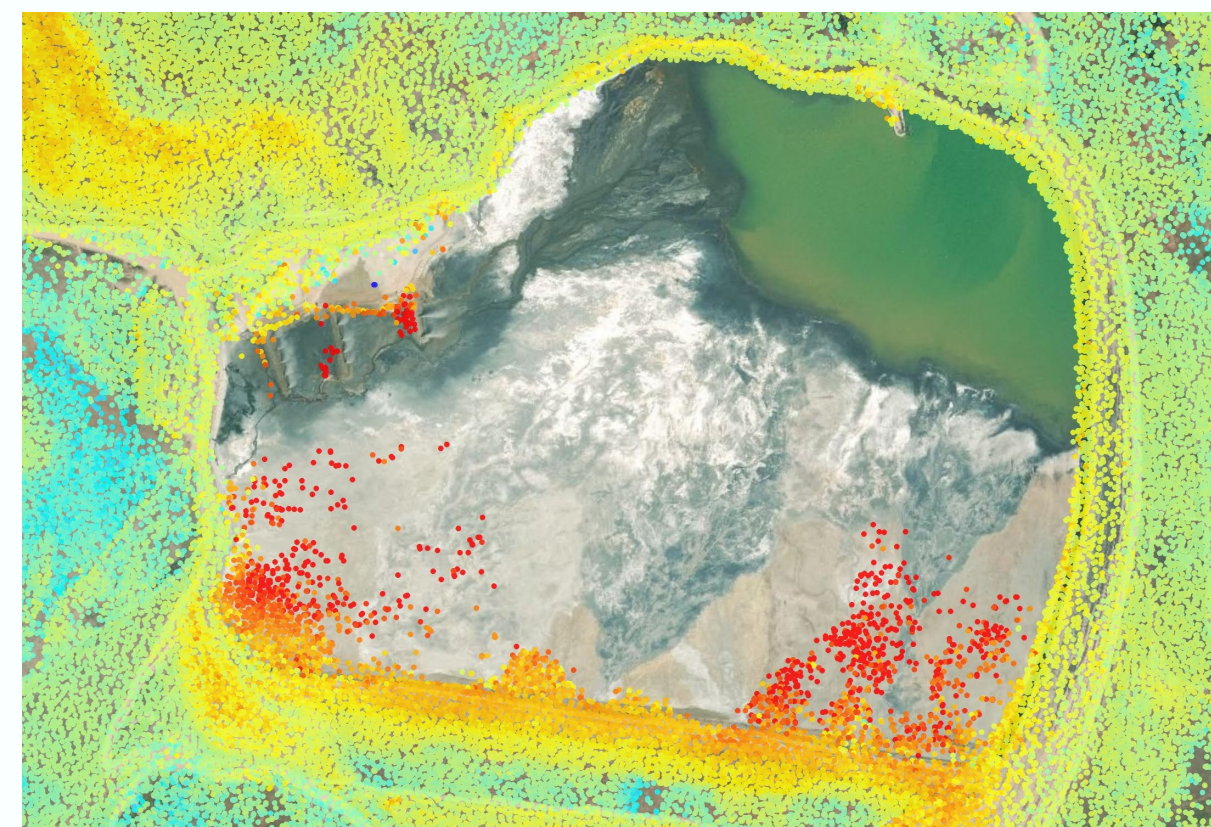
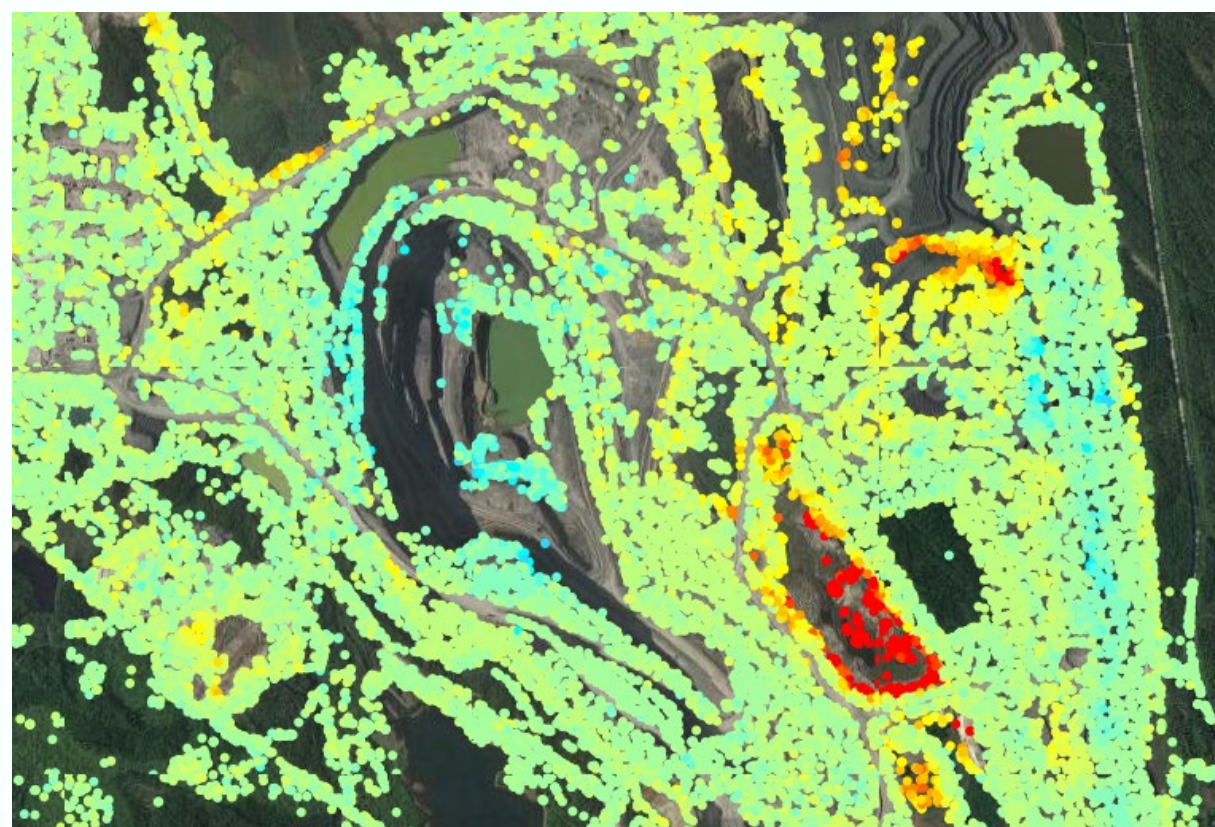
- ❑ InSAR measurements are 1D along the satellite LOS
- ❑ Ascending and descending orbits observe the ground from different directions
 - East-looking orbit has best coverage of east-facing slopes
 - West-looking orbit has best coverage of west-facing slopes
- ❑ 2D measurements (Vertical and East-West) are generated by combining overlapping LOS data

1-D LOS data
Max spatial resolution

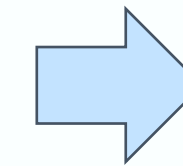


When is InSAR Used?

- ❑ Remote sensing of areas hard to reach/no instrumentation
- ❑ Long-term, strategic monitoring of entire mine
- ❑ Verifying design performance, prioritizing inspections, surveys and deploying ground-based sensors
- ❑ Assessing historical ground displacement
- ❑ Low impact and low-cost monitoring for legacy assets

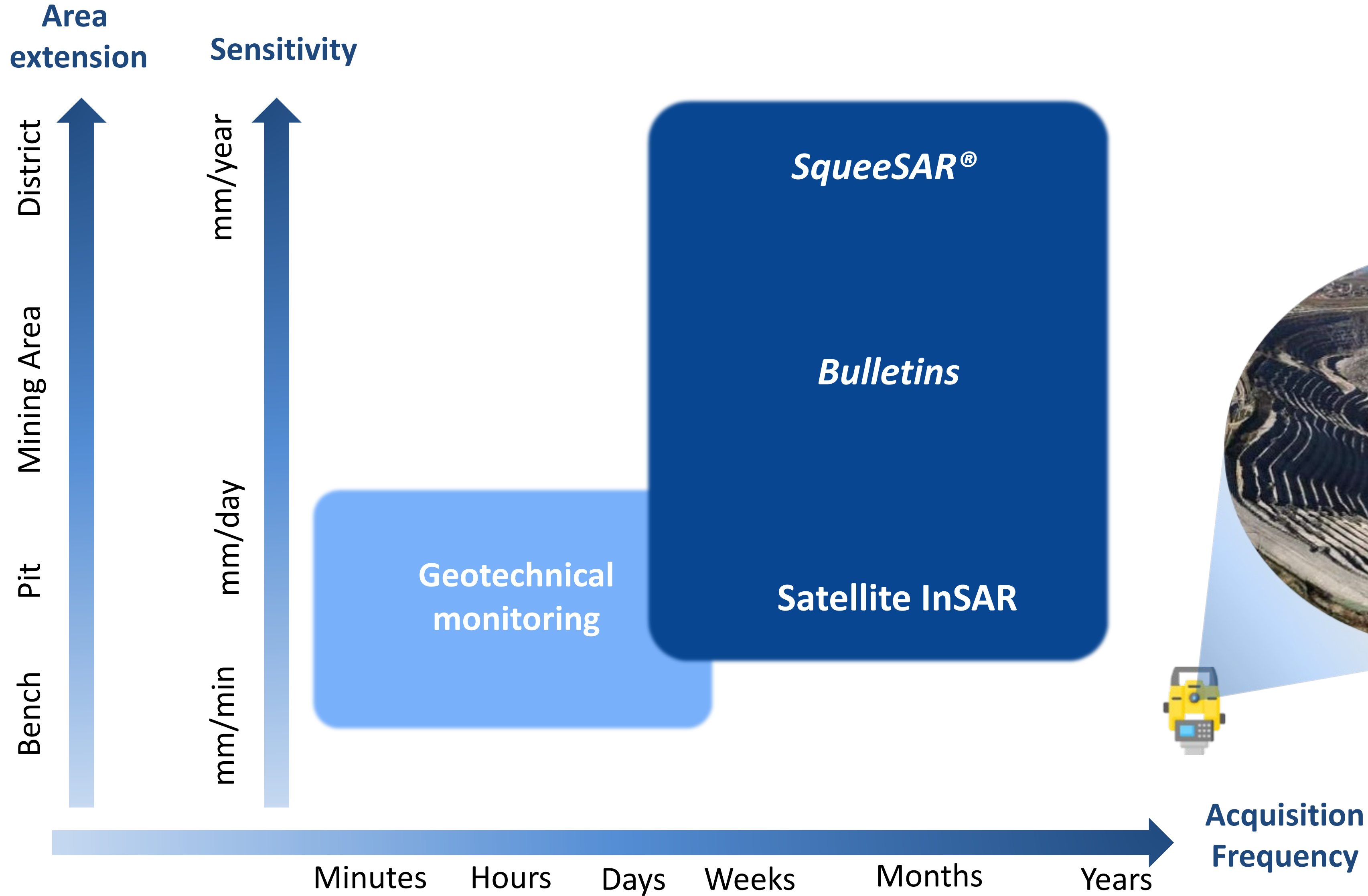


- ❑ Basic Principles of InSAR
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- ❑ InSAR Capabilities, limitations and program design considerations
- ❑ What's next

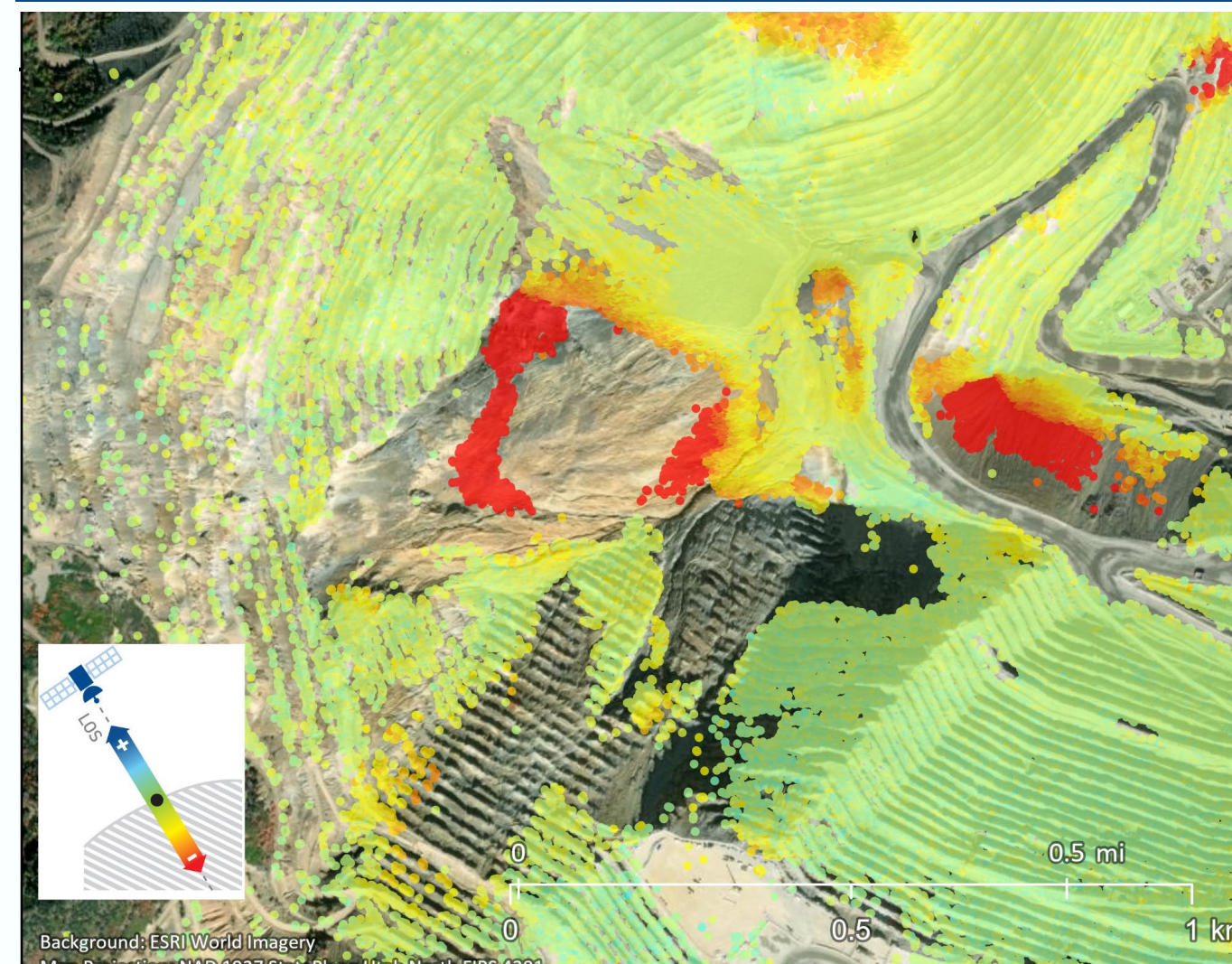


- **Processing techniques**
- **Sources of noise**
- **Data precision**

InSAR and Geotechnical Monitoring



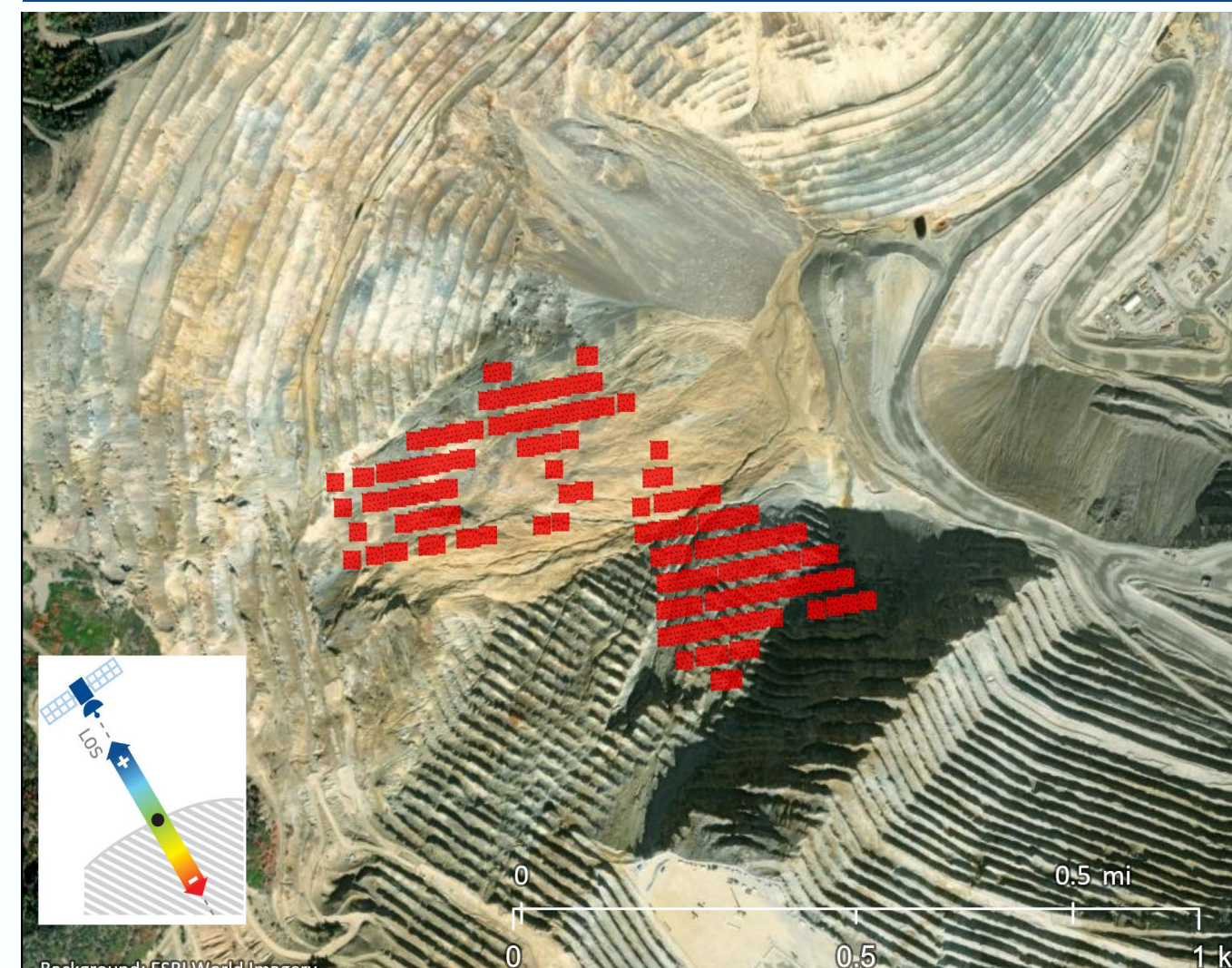
Multi-temporal - SqueeSAR



Strategic areas/low surface variations

- » Frequent updates every 11 days/monthly
- » 1-D and 2-D displacement
- » ± 1 mm/yr precision
- » ± 2 mm sensitivity
- » Full-resolution

Rapid Motion Tracking



Areas of fast movement

- » Frequent updates every 11 days/monthly
- » 2-D (LOS, Azimuth) or 3-D (with two orbits)
- » $\pm 10-15$ cm/yr precision
- » Rapid movement (>50 cm/yr)
- » Coarse resolution (100x100 pixels)

Bulletins

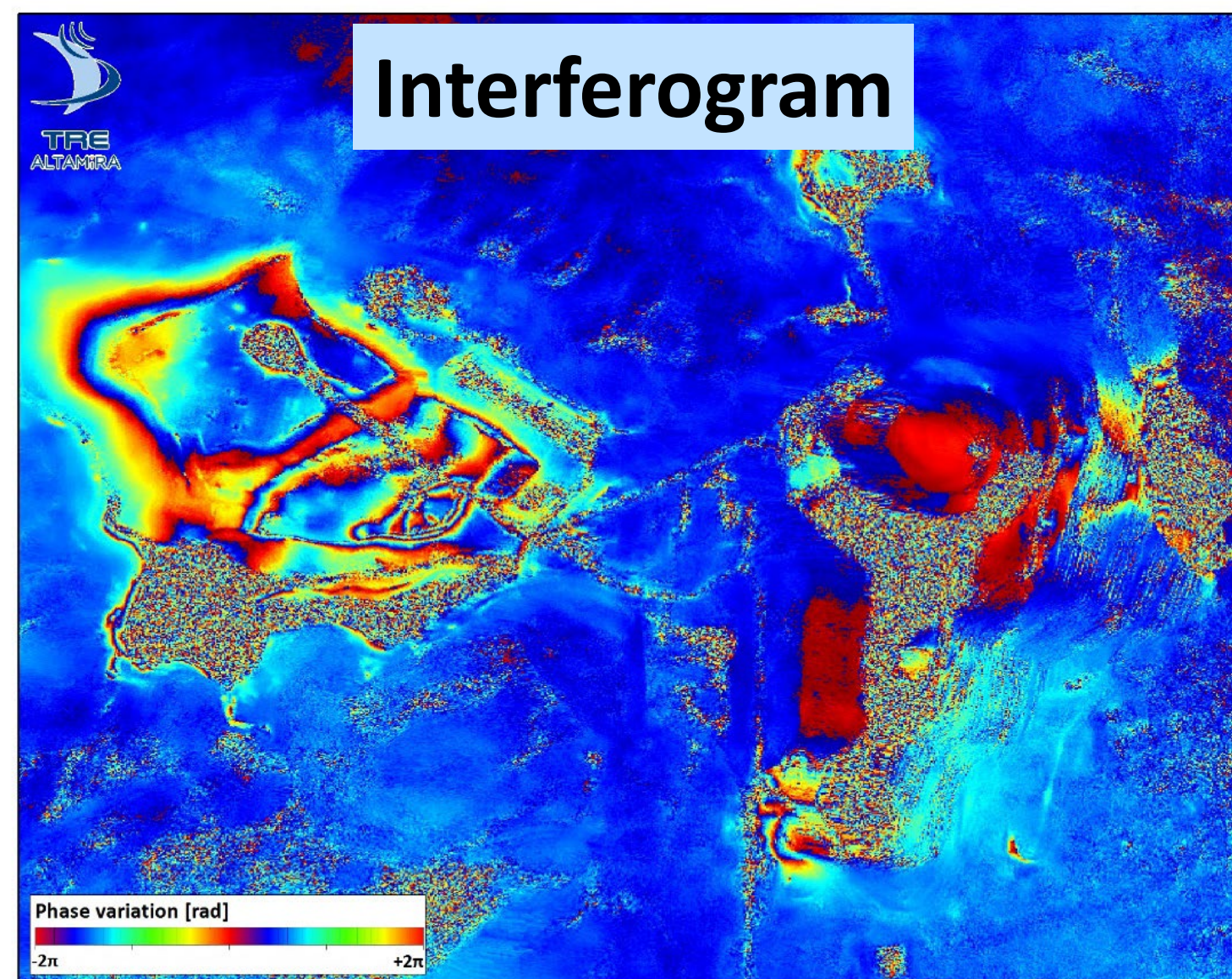


Operational/changing areas

- » Updates every 11 days
- » 1-D (LOS) displacement and visibility maps
- » 0.5-1cm precision
- » 0.5-1 cm/11 days sensitivity
- » Medium-resolution (5-10 pixels)

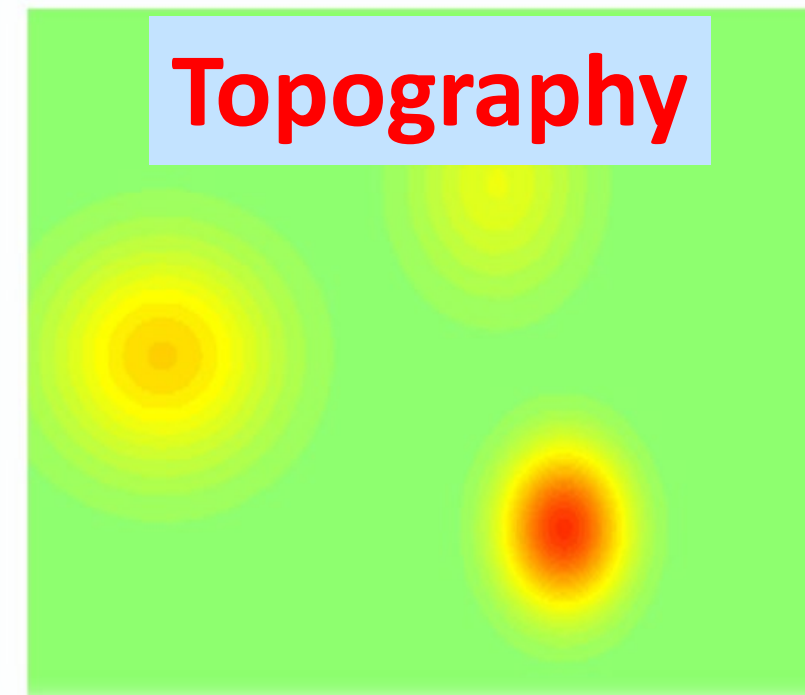
Factors affecting Precision

From phase...

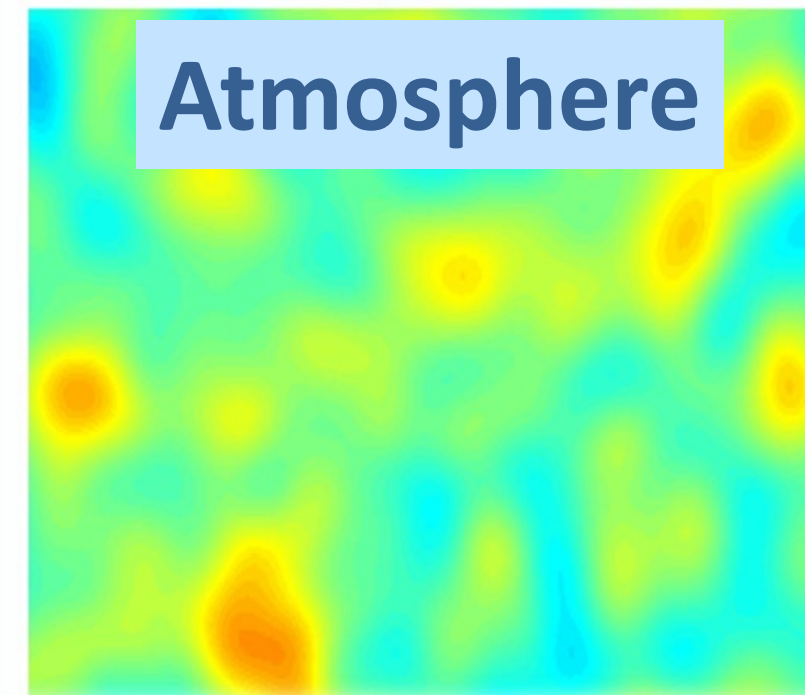


$\Delta\phi$ = displacement
+ **topography effects**
+ atmospheric noise
+ **decorrelation noise**

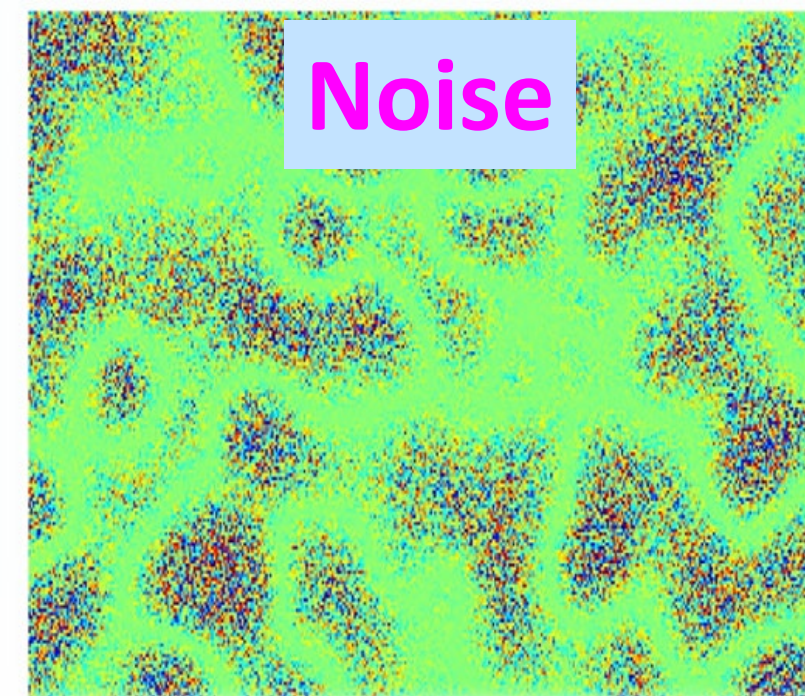
Topography



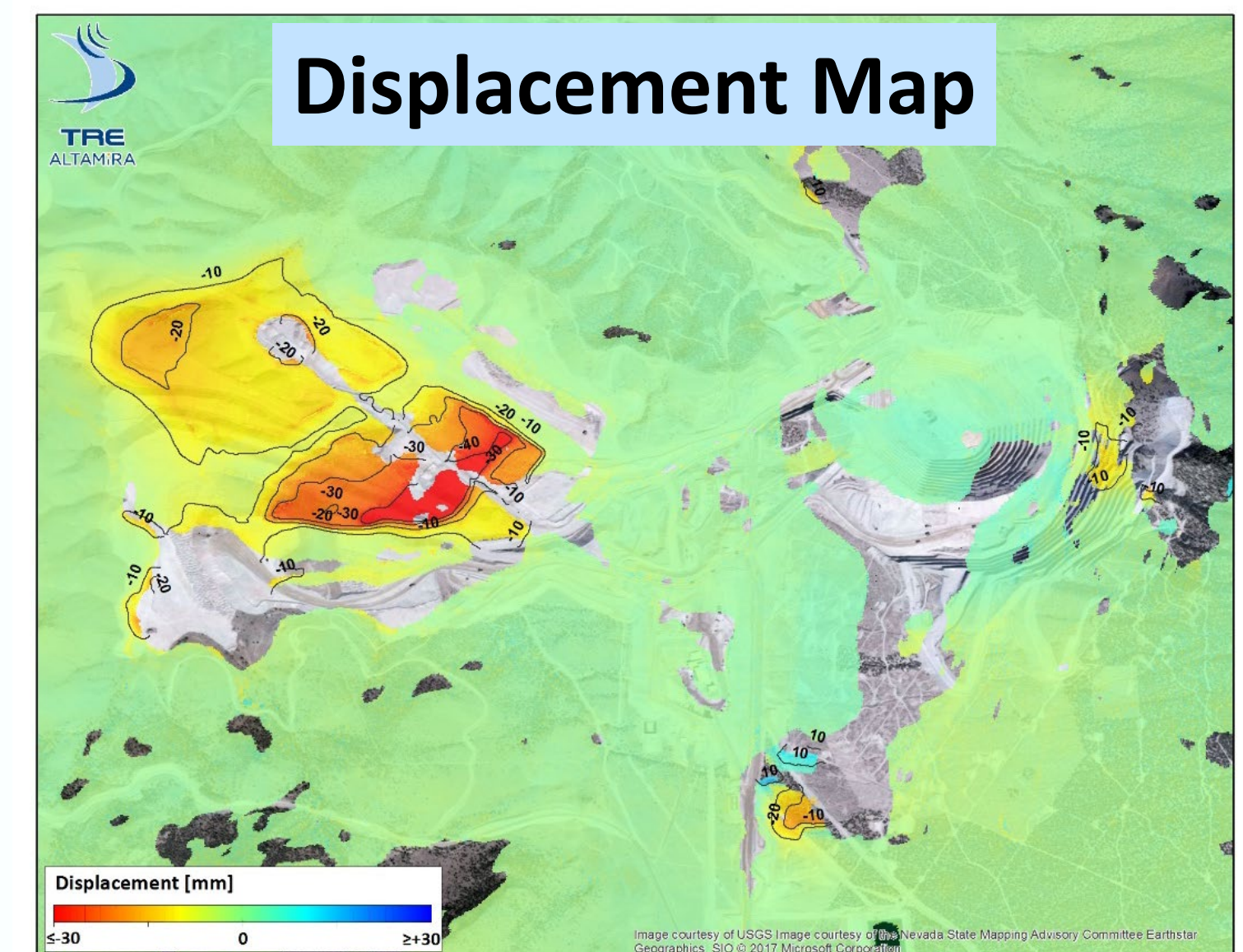
Atmosphere



Noise



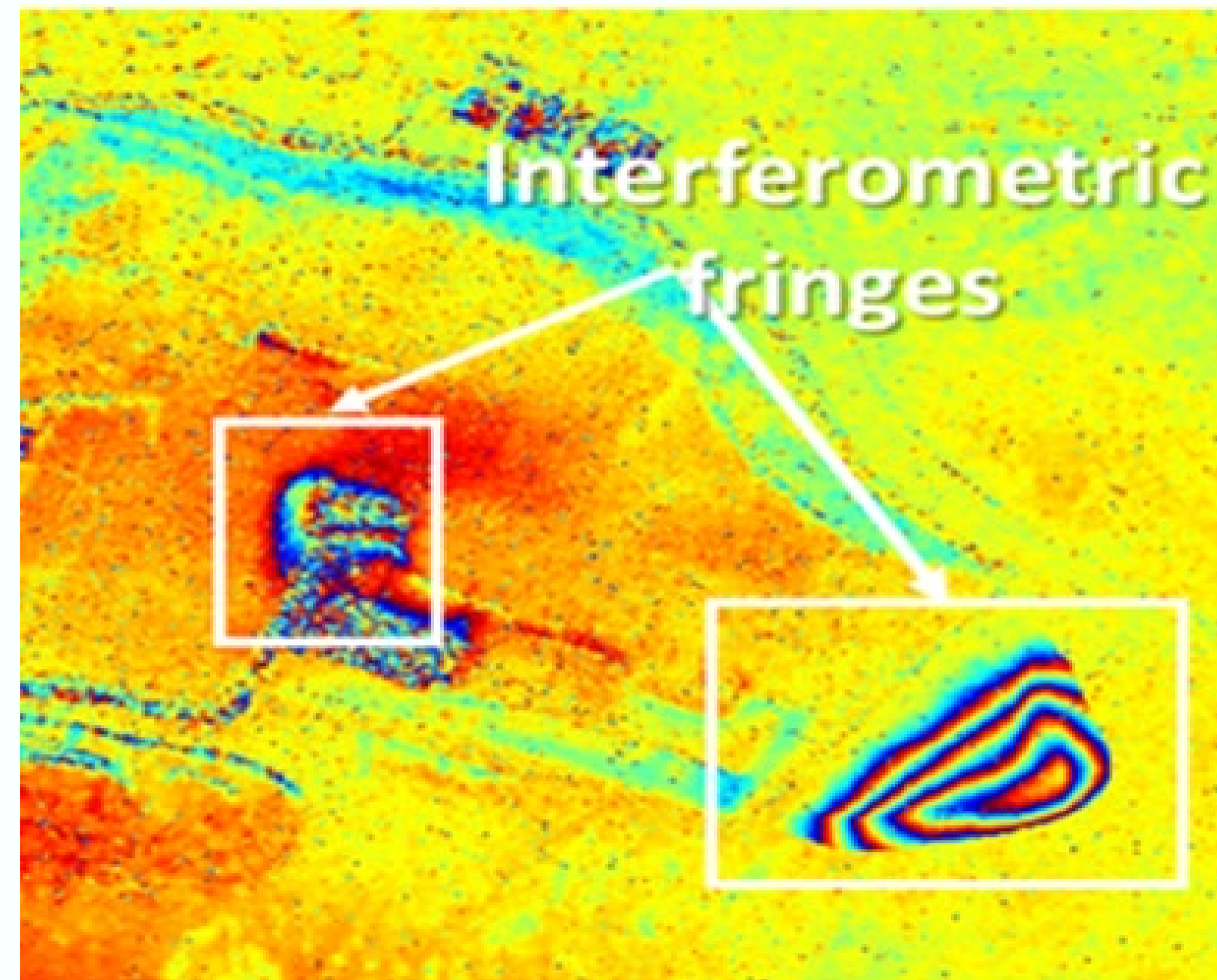
...to displacement.



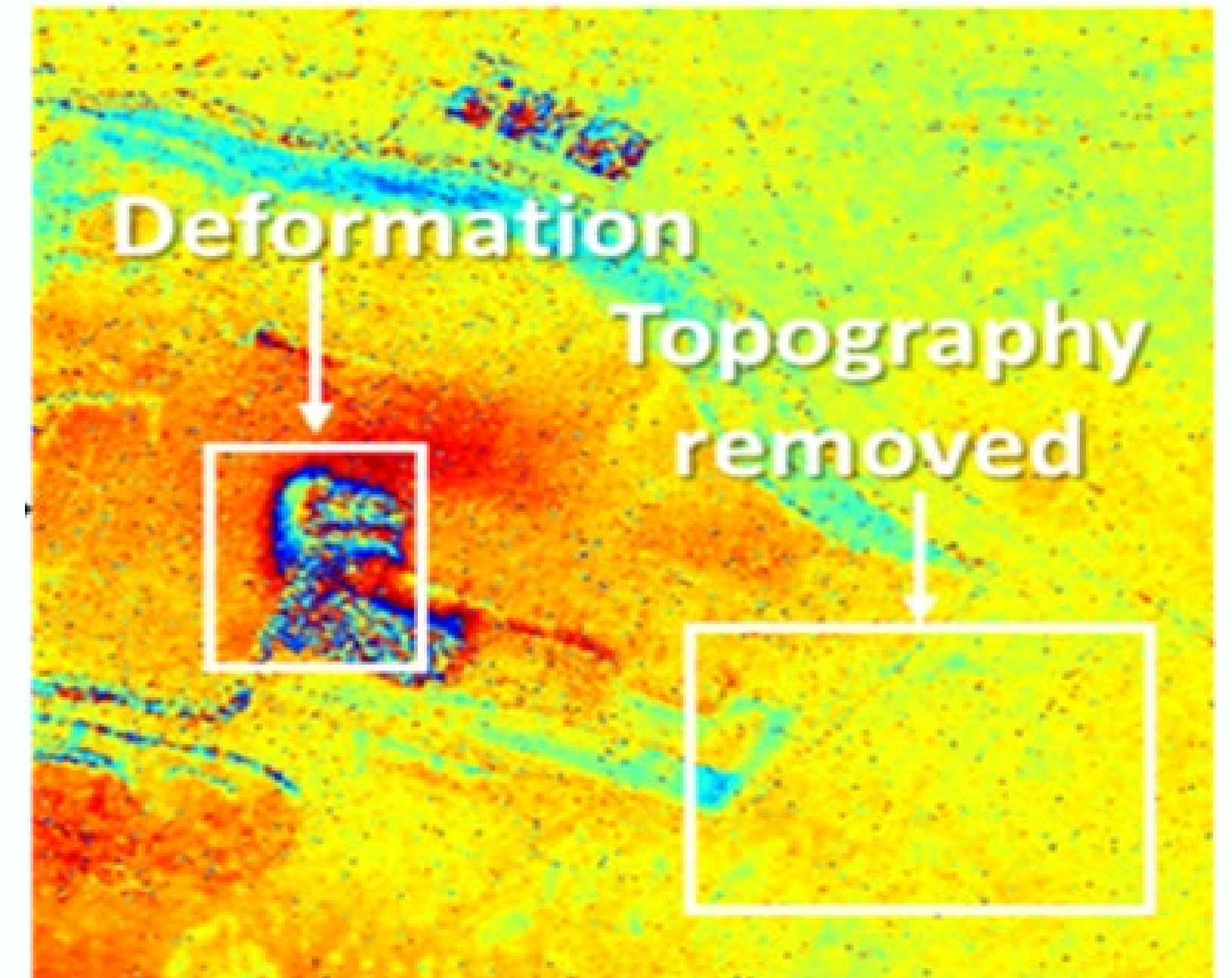
What Affects Precision - Topography

- ❑ Used at project set-up
- ❑ Very important for monitoring programs of active mines

Interferogram without updated DEM

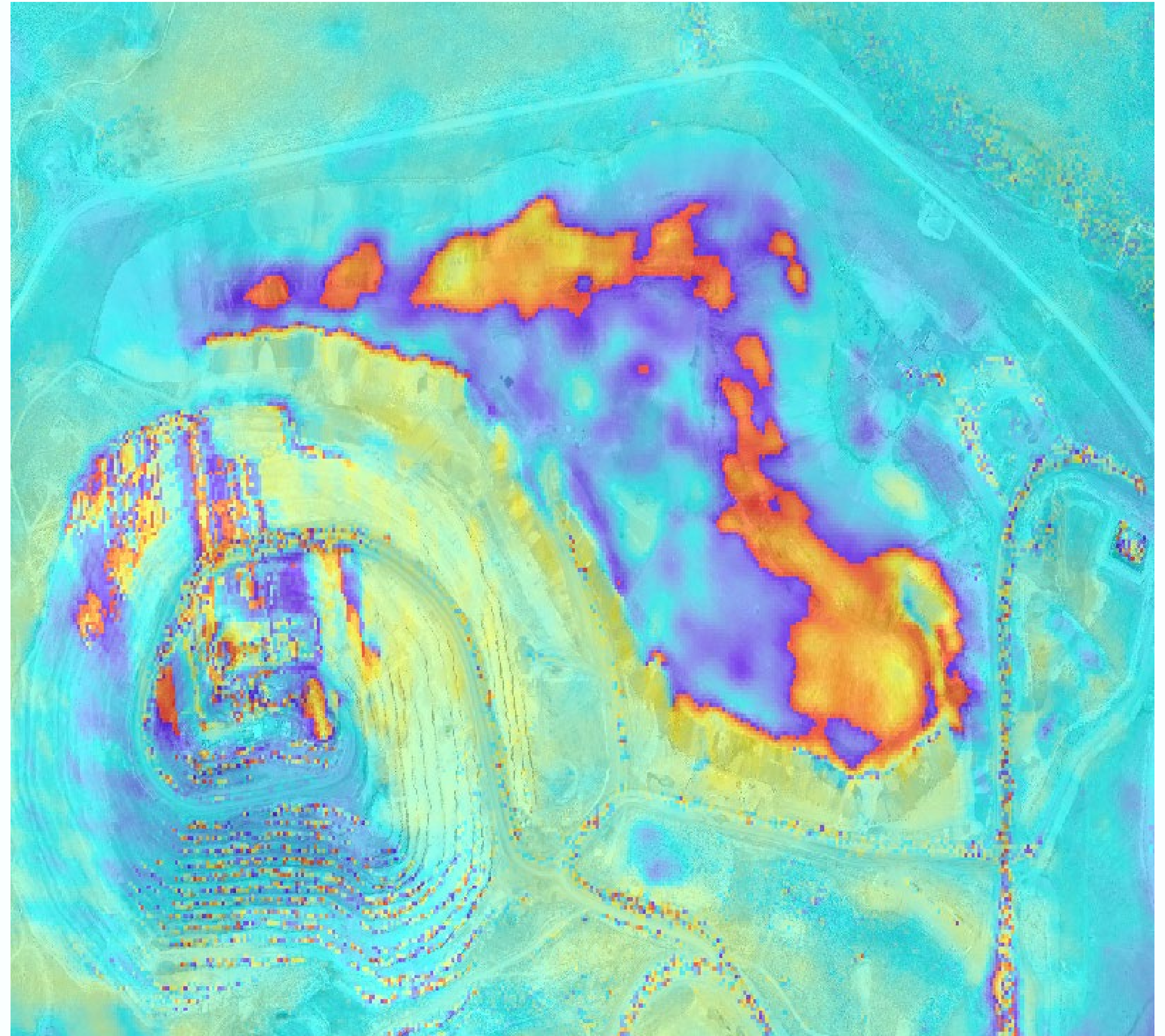


Interferogram with updated DEM



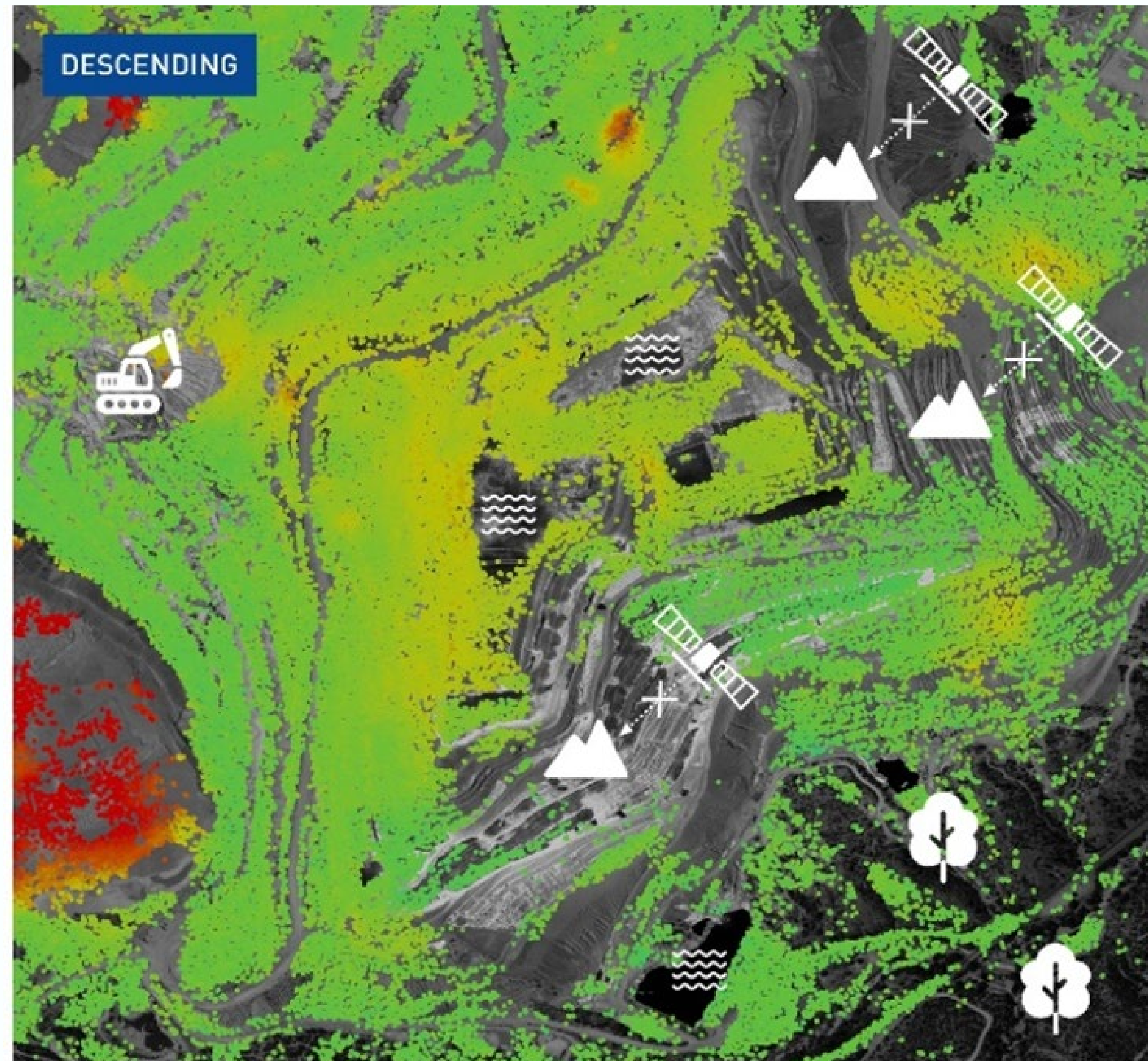
What Affects Precision - Atmosphere

- ❑ Time of acquisition
 - Greater atmospheric noise during day time
- ❑ Acquisition geometry
 - Higher angles off of vertical means signal travels longer distance
- ❑ Distance from the reference point



What Affects Precision - Decorrelation

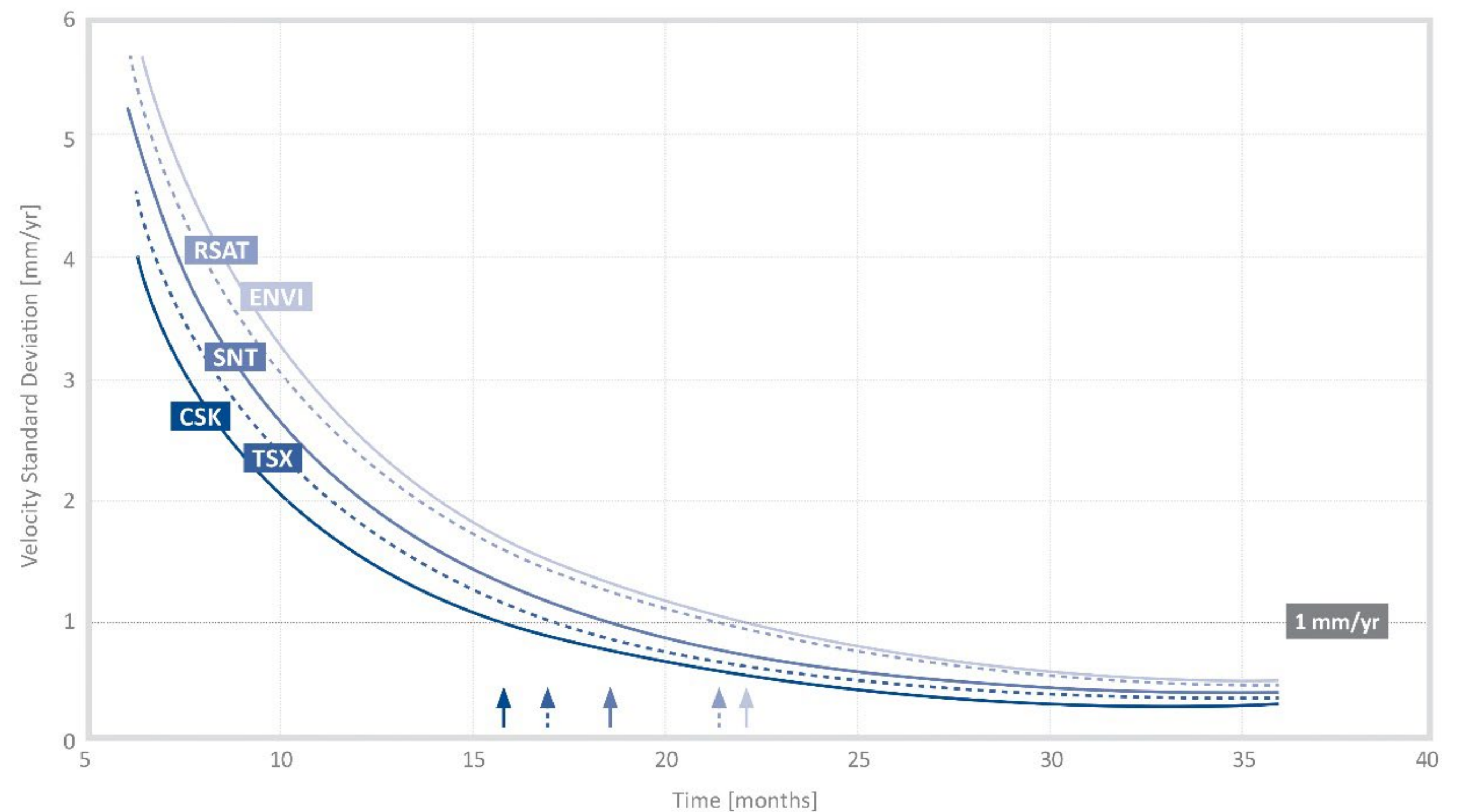
- ❑ Areas affected by temporal decorrelation
 - radar signal is not coherent over time
- ❑ Surface changes in the period of the analysis
- ❑ Seasonal surface changes



What Affects Precision – Other Factors

- ❑ Number of processed images
- ❑ Length of the interval analysed
- ❑ Temporal continuity of acquisitions

Multi-temporal InSAR



- ❑ Basic Principles of InSAR
- ❑ InSAR processing & data precision
- ❑ InSAR Capabilities, limitations and program design considerations
- ❑ What's next

- **What InSAR can/cannot do**
- **Choosing the right approach**
- **Data visualization**

- ✓ Long-term, strategic monitoring of entire mines
- ✓ High-frequency monitoring of rates from mm to m/year
- ✓ Prioritization of inspections, surveys and ground-sensor deployment
- ✓ Forensic analysis of ground displacement

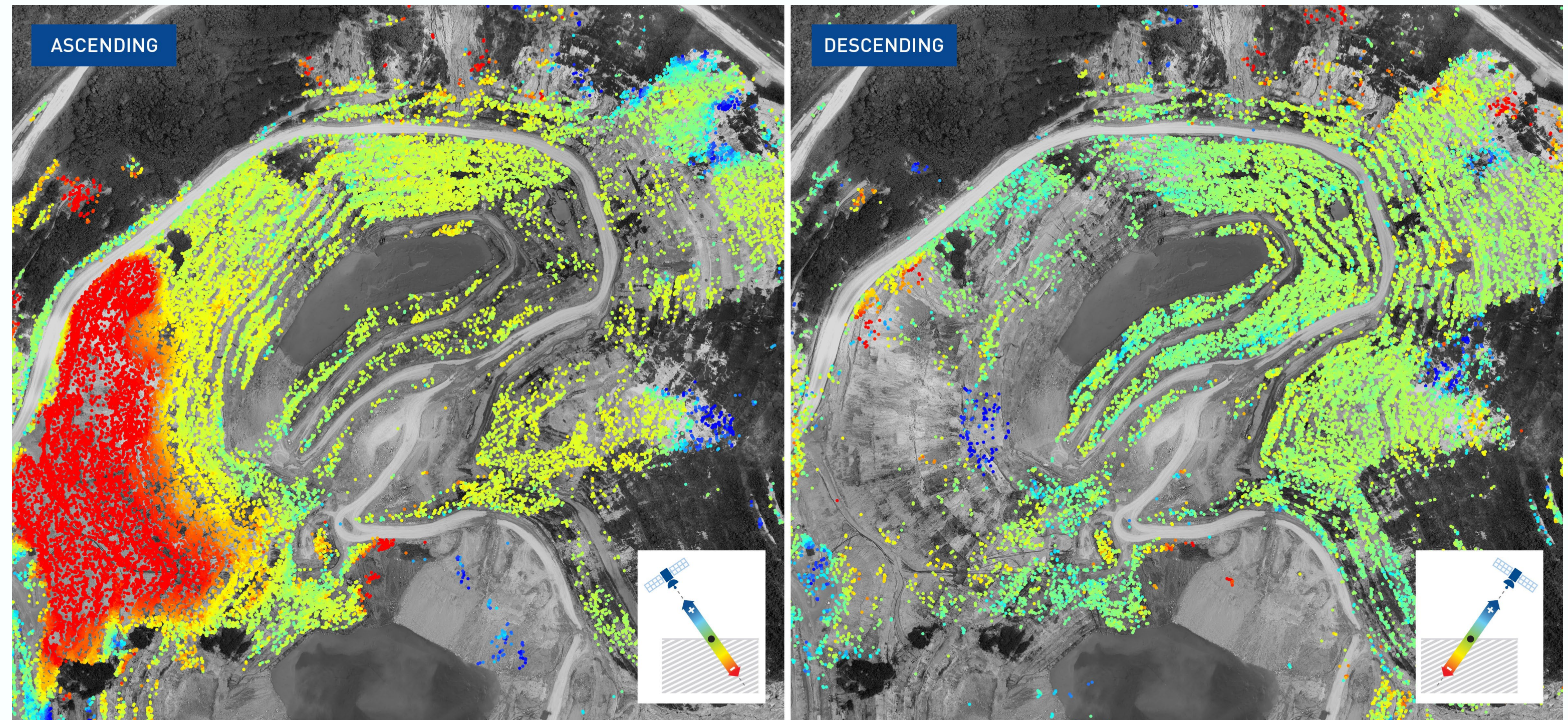
What InSAR Can & Cannot Do

- ✗ Real-time tactical monitoring
- ✗ See through water, snow & dense vegetation
- ✗ Replace ground-based radars
- ✗ See all areas of the mine with a single orbit
- ✗ Predict ground displacement



Satellite orbit and viewing angle

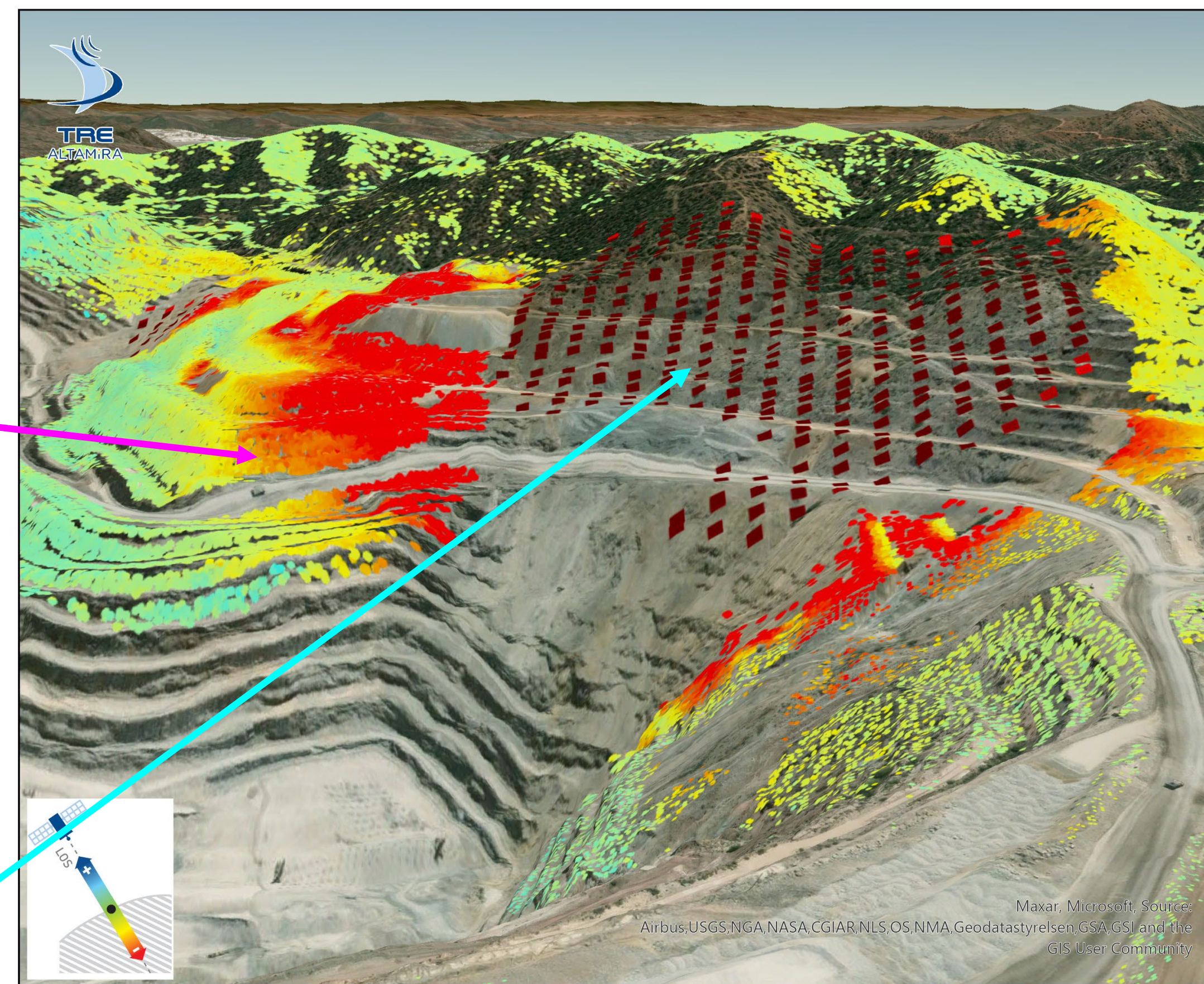
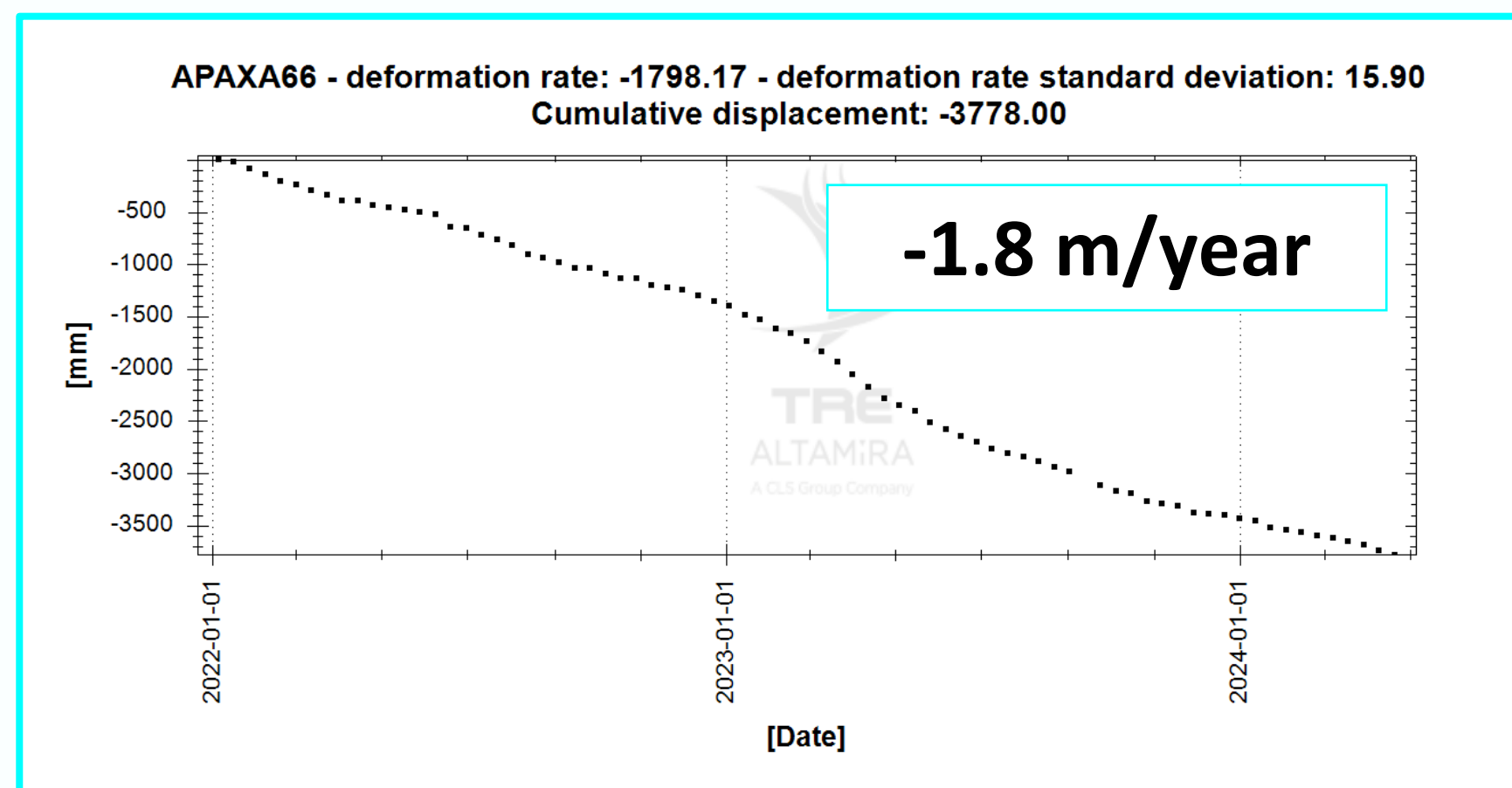
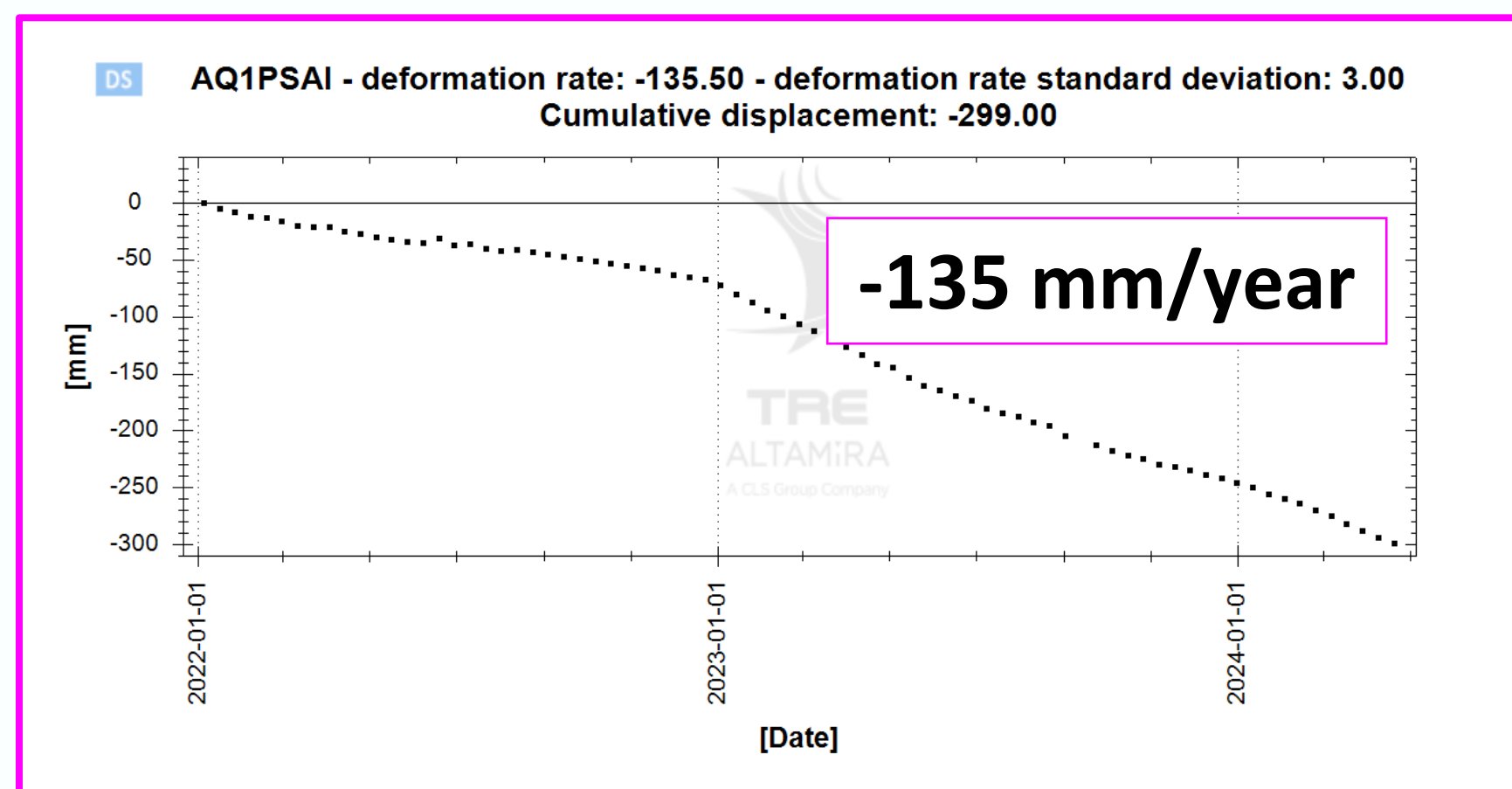
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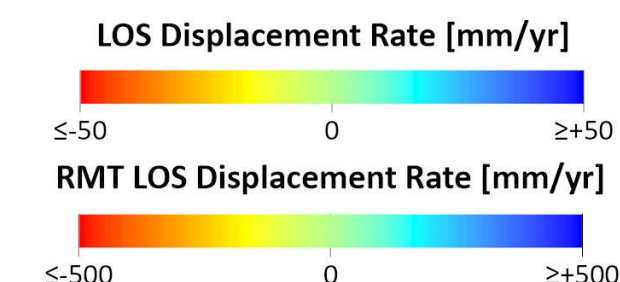
Active Operations & Rate Variations

Varied rates of displacement



PROCESSING DATA

Satellite	TSX
Orbit (angle)	Ascending ($\theta = 27.3^\circ$)
Date Range	Jan 2022 - Apr 2024



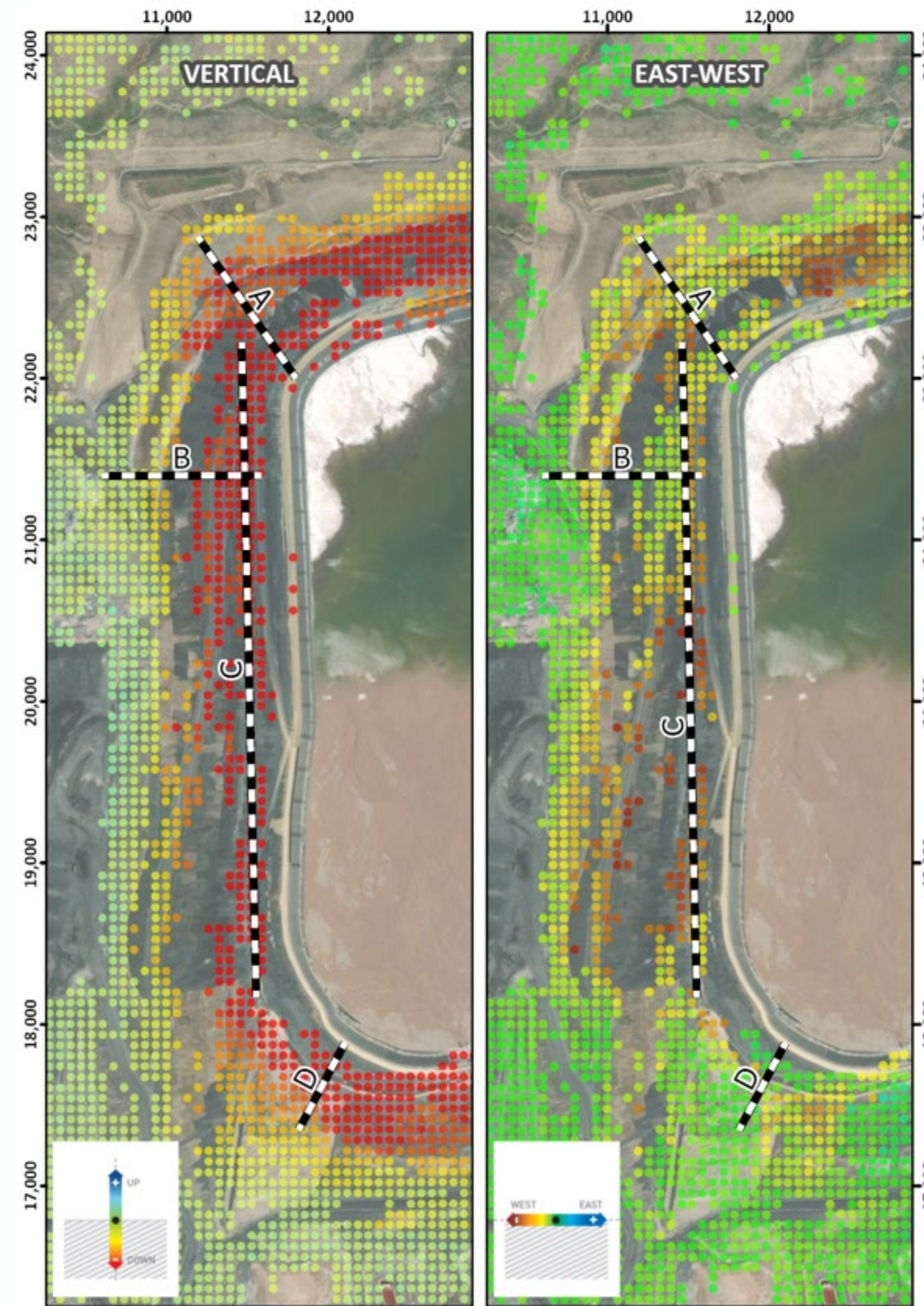
Background: Esri World Imagery
Map Projection: WGS 1984

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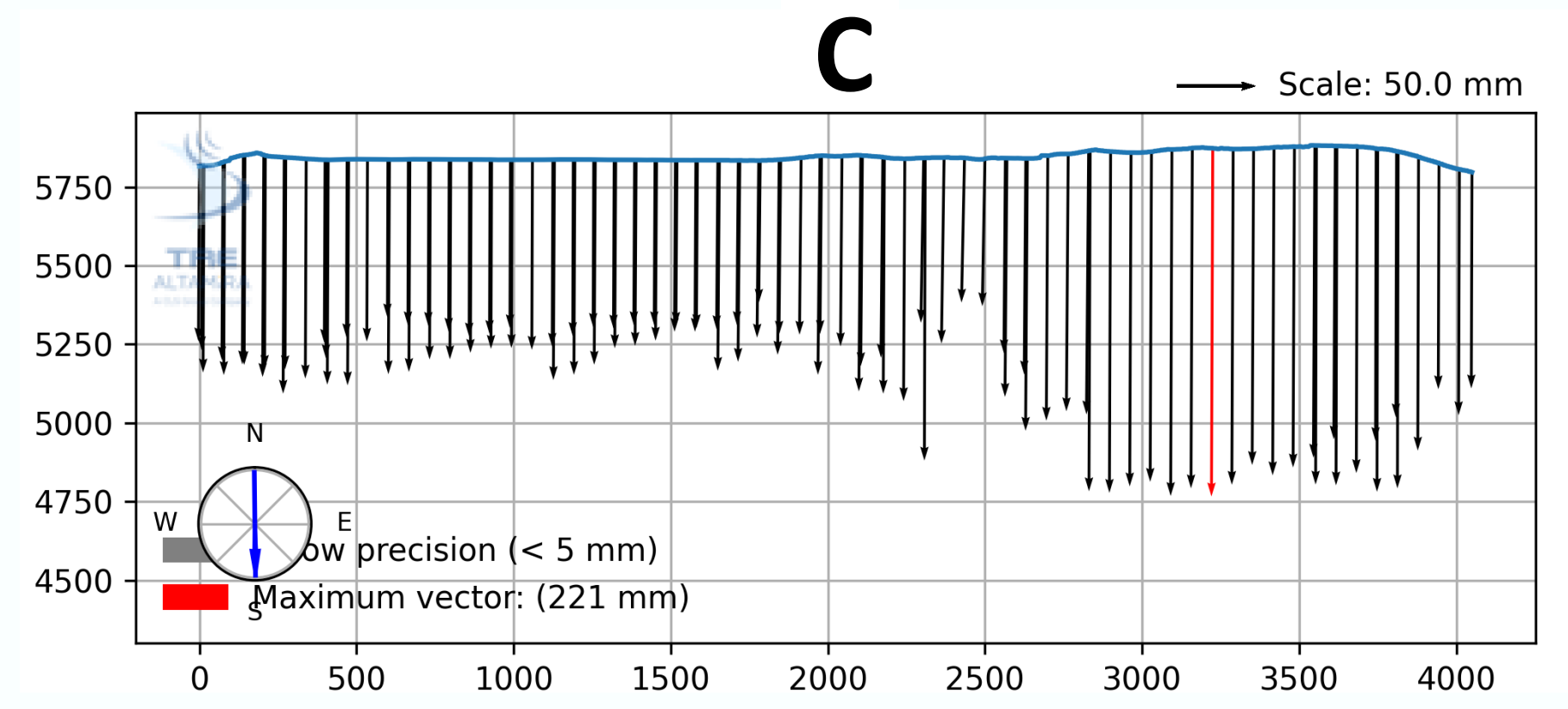
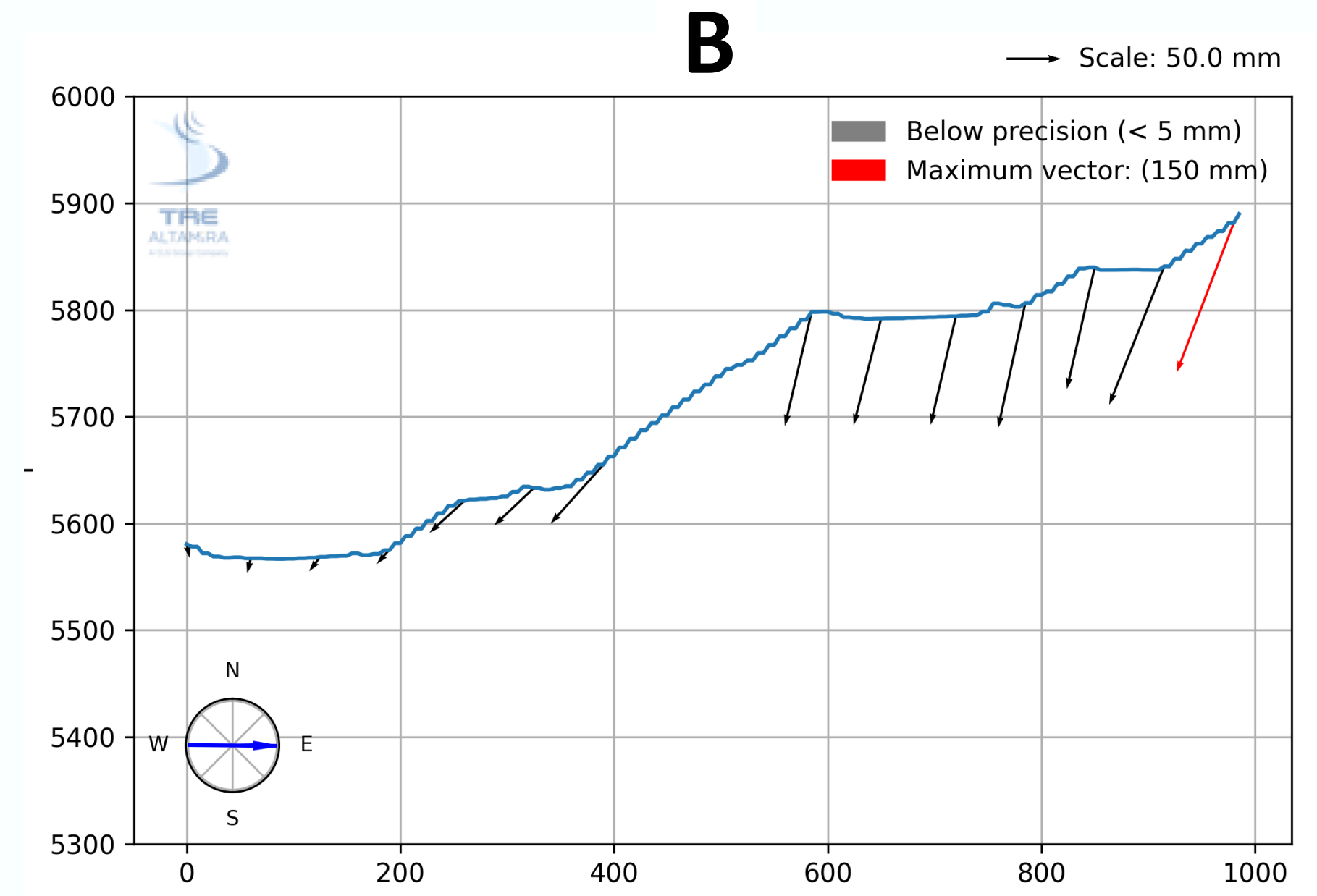
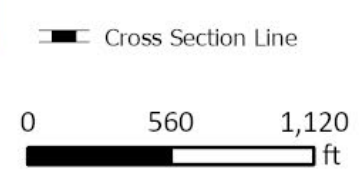
Technical Considerations – Tailings Facilities

- ❑ Varied rates of displacement
- ❑ Buttress raises
- ❑ Vegetation
- ❑ Water



SqueeSAR Analysis

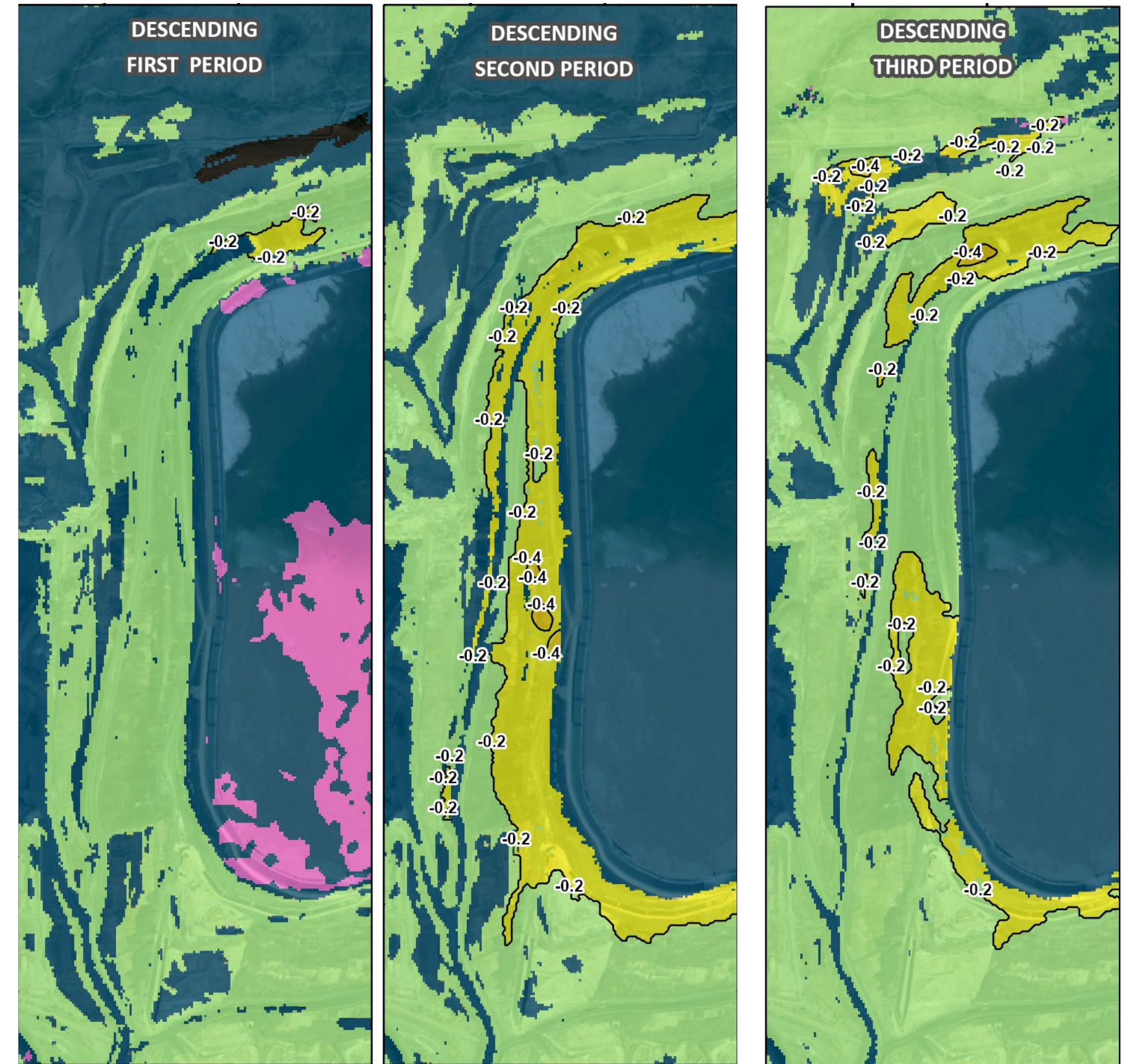
Topographic Cross Section





Active Operations - Tailings

- ❑ Frequent changes to the ground surface
 - Impact coherence
 - Combination of processing approaches needed
- ❑ Bulletins show displacement over 11 days



SqueeSAR Analysis
InSAR Bulletin

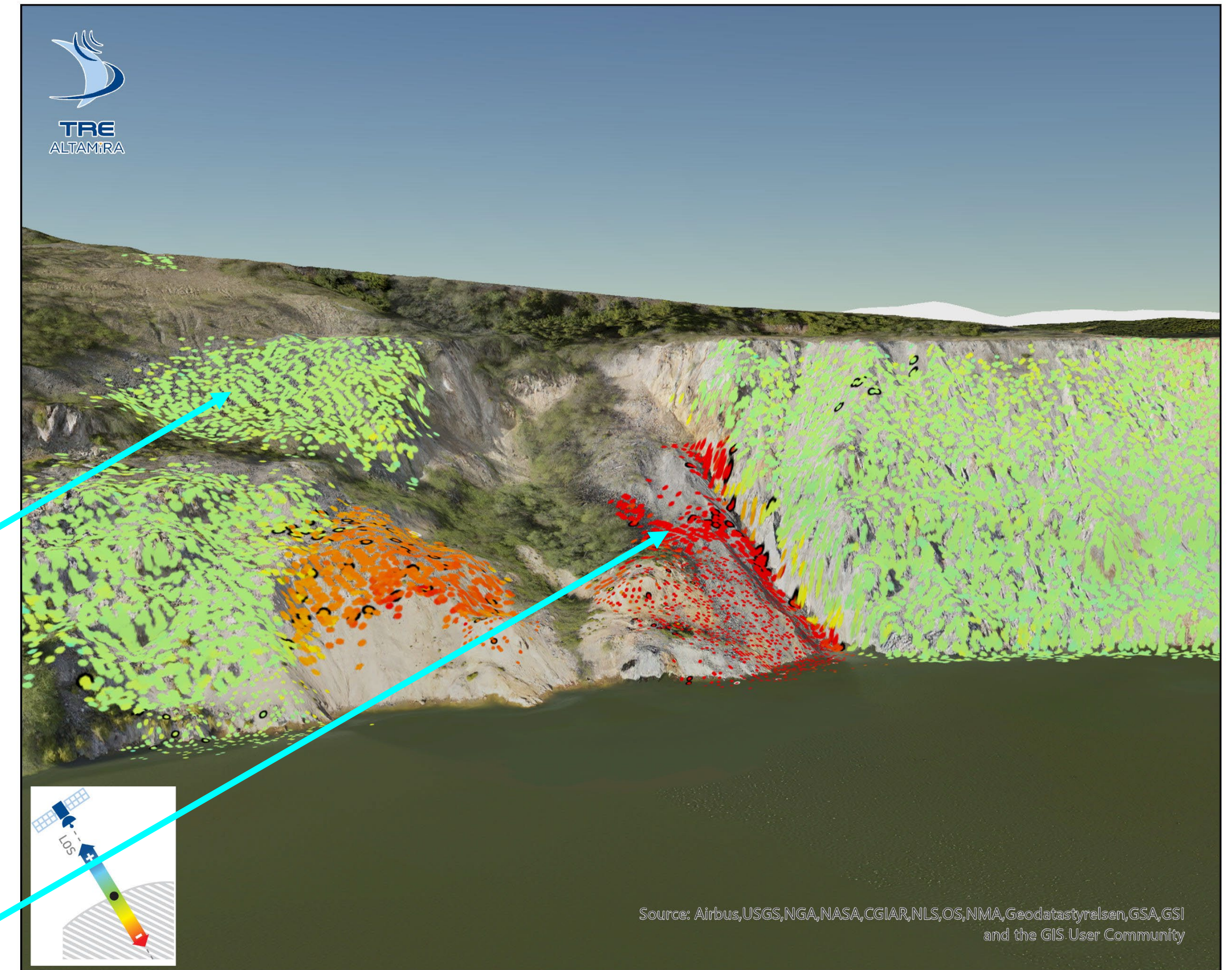
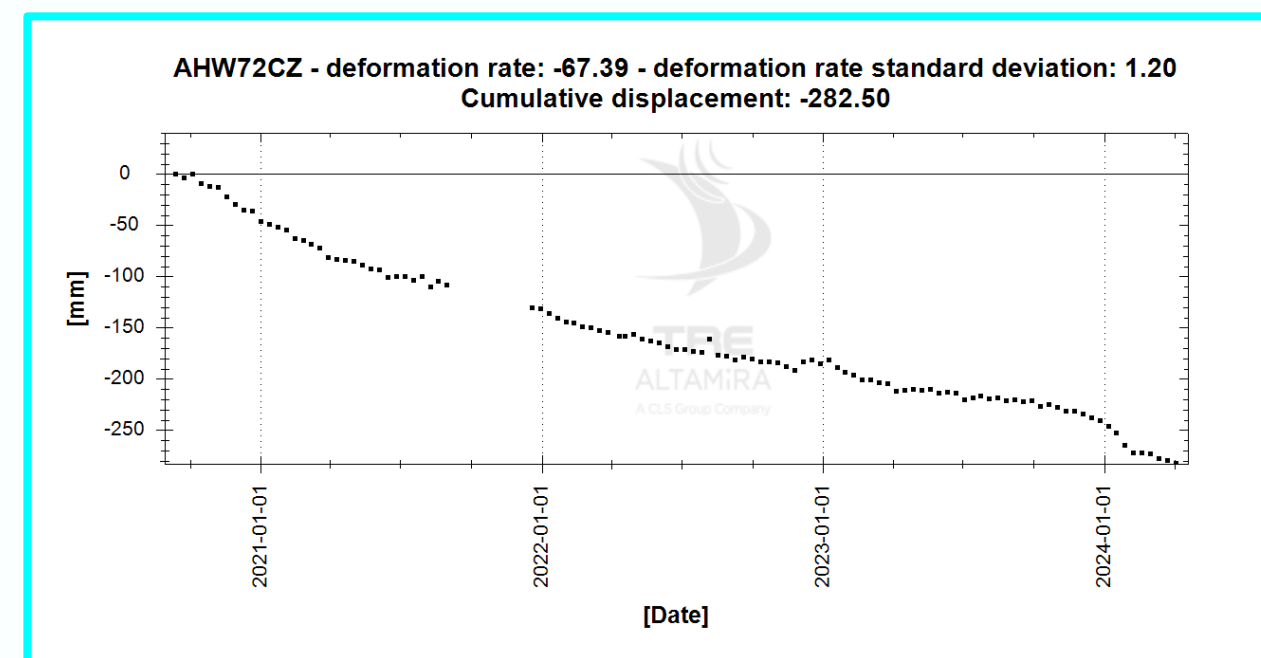
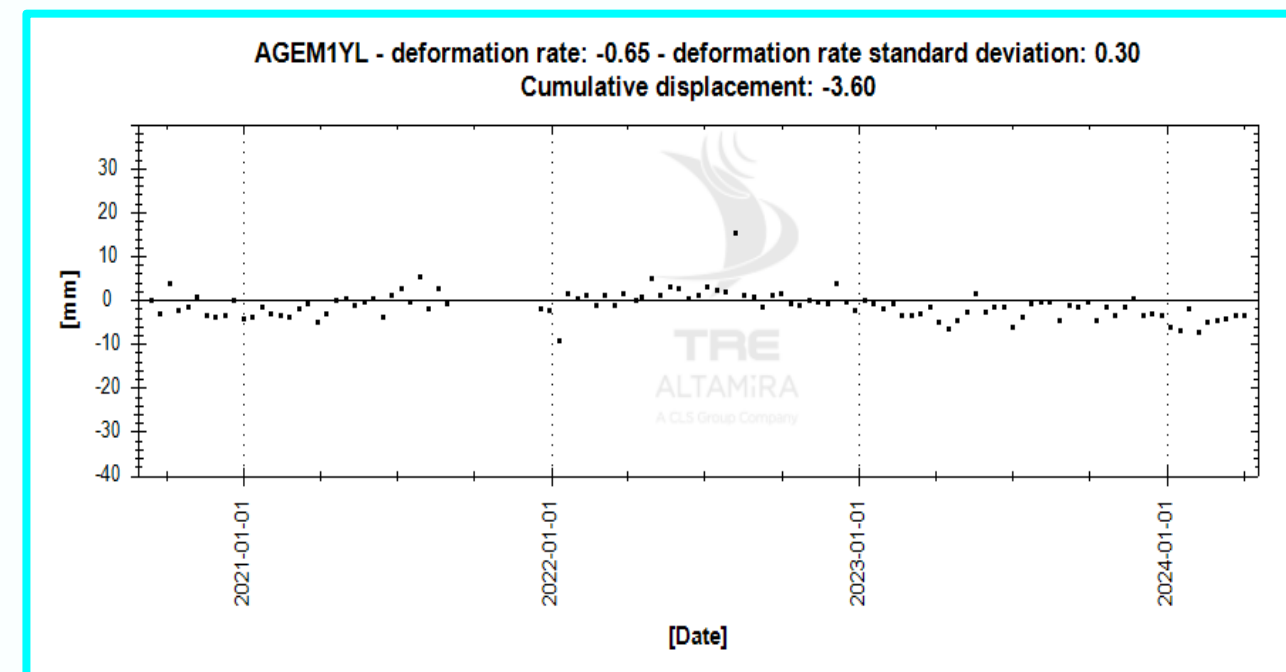
Visibility	LOS Displacement [in]	LOS Displacement [in]
Surface variation	≤ [-3.0]	[-0.2] - [-0.4]
No Information	[-2.0] - [-3.0]	[-0.2] - [+0.2]
Possible motion	[-1.5] - [-2.0]	[+0.2] - [+0.4]
	[-1.0] - [-1.5]	[+0.4] - [+1.0]
	[-0.4] - [-1.0]	

Displacement contour lines

Monitoring Legacy Assets

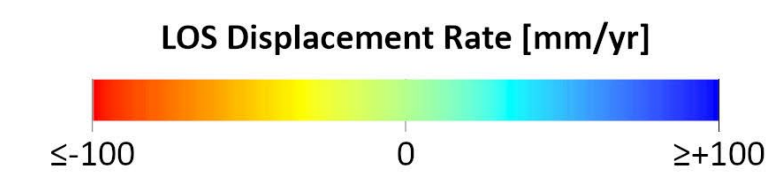


- ❑ Little or no displacement in most areas
- ❑ Possible long-term slope movement
- ❑ Vegetation
- ❑ Water
- ❑ Cost



PROCESSING DATA

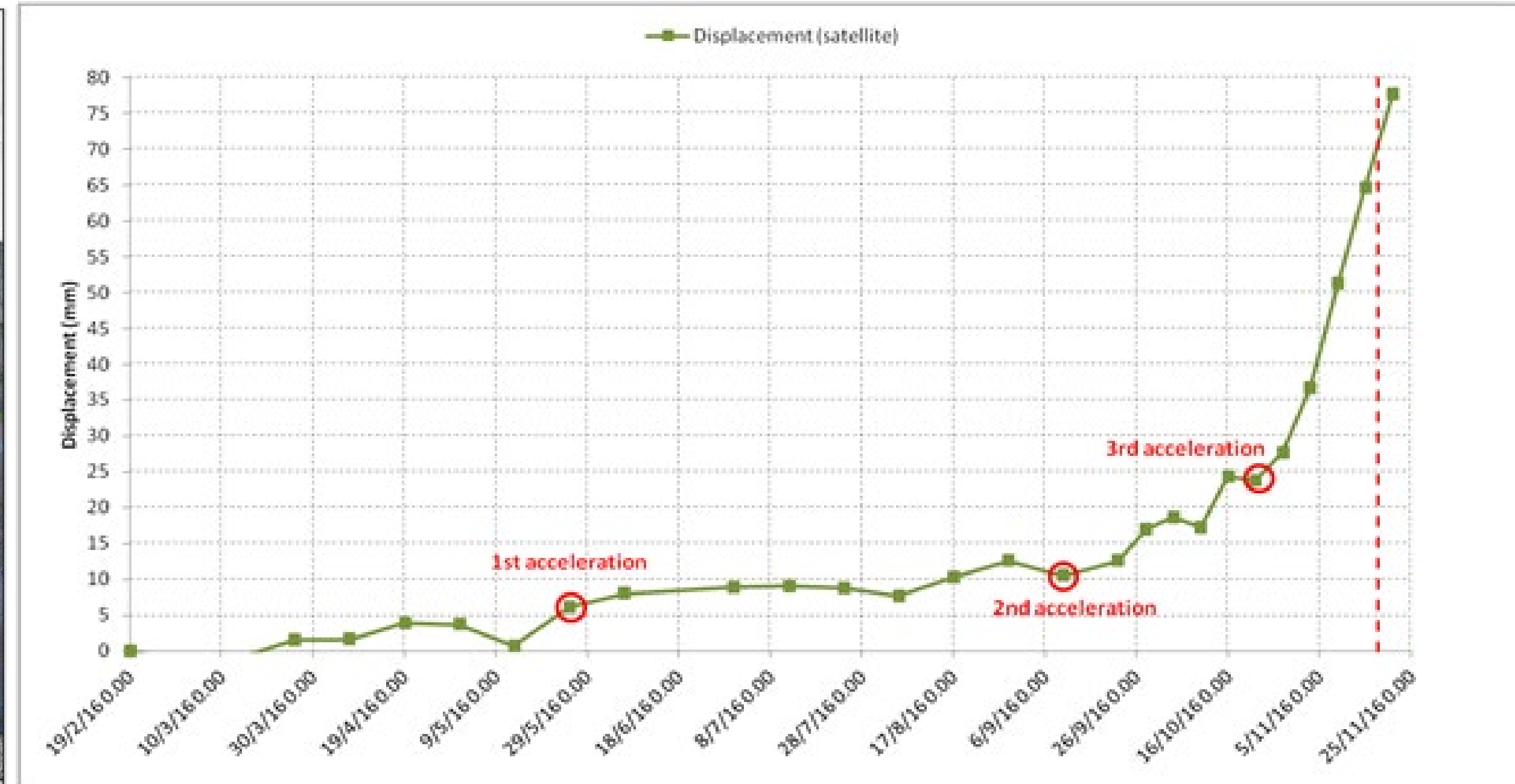
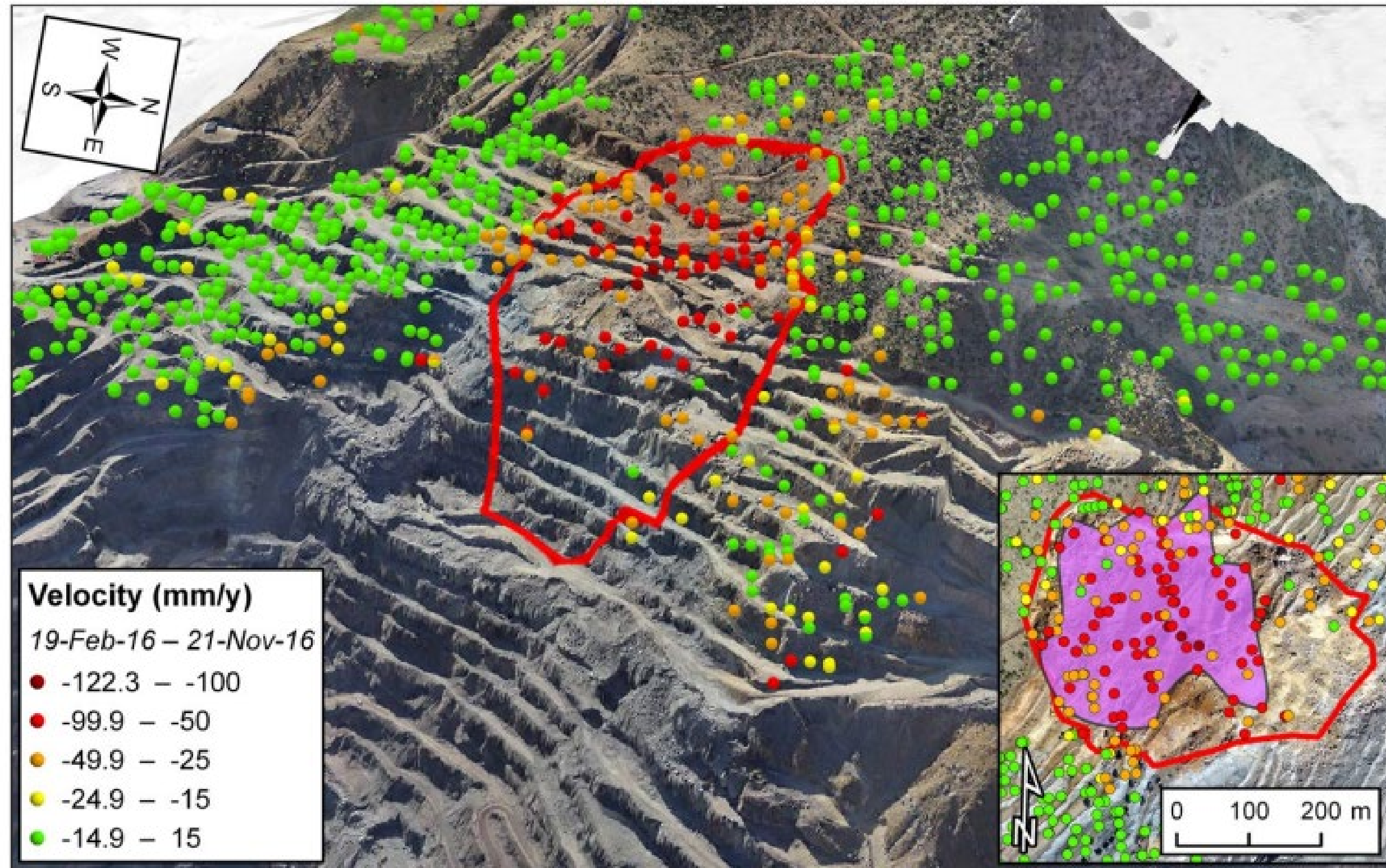
Satellite	Sentinel
Orbit (angle)	Ascending ($\theta = 35.97^\circ$)
Date Range	Sep 2020 - Apr 2024



- Point with LOS rate decrease
- Point with LOS rate increase

- ❑ Map rate or cumulative displacement
- ❑ Highlight changes in trend and differential displacement
- ❑ Time-slice data
- ❑ Filter by rate, acceleration & quality
- ❑ Overlay polygons & pins
- ❑ High-resolution optical base images

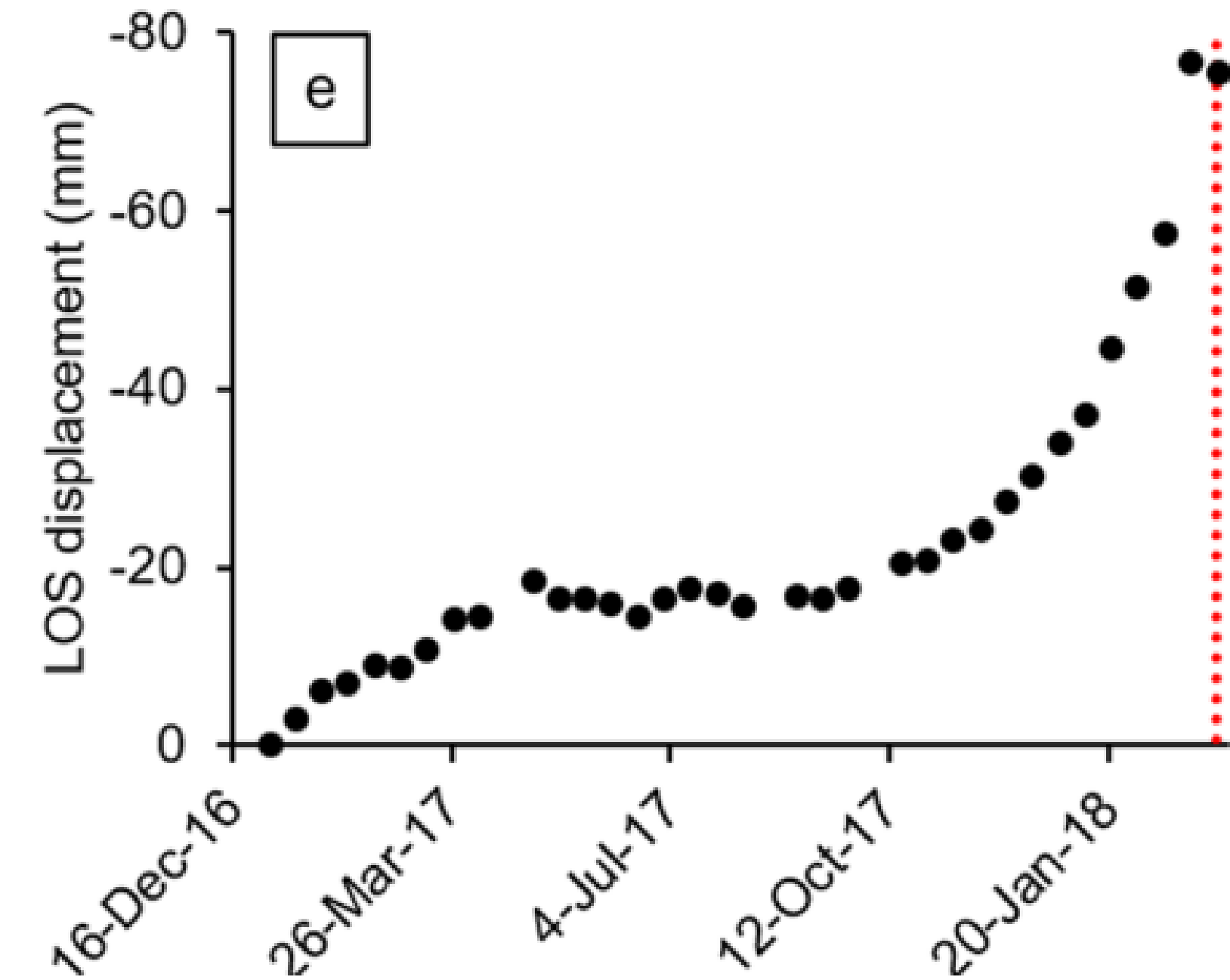
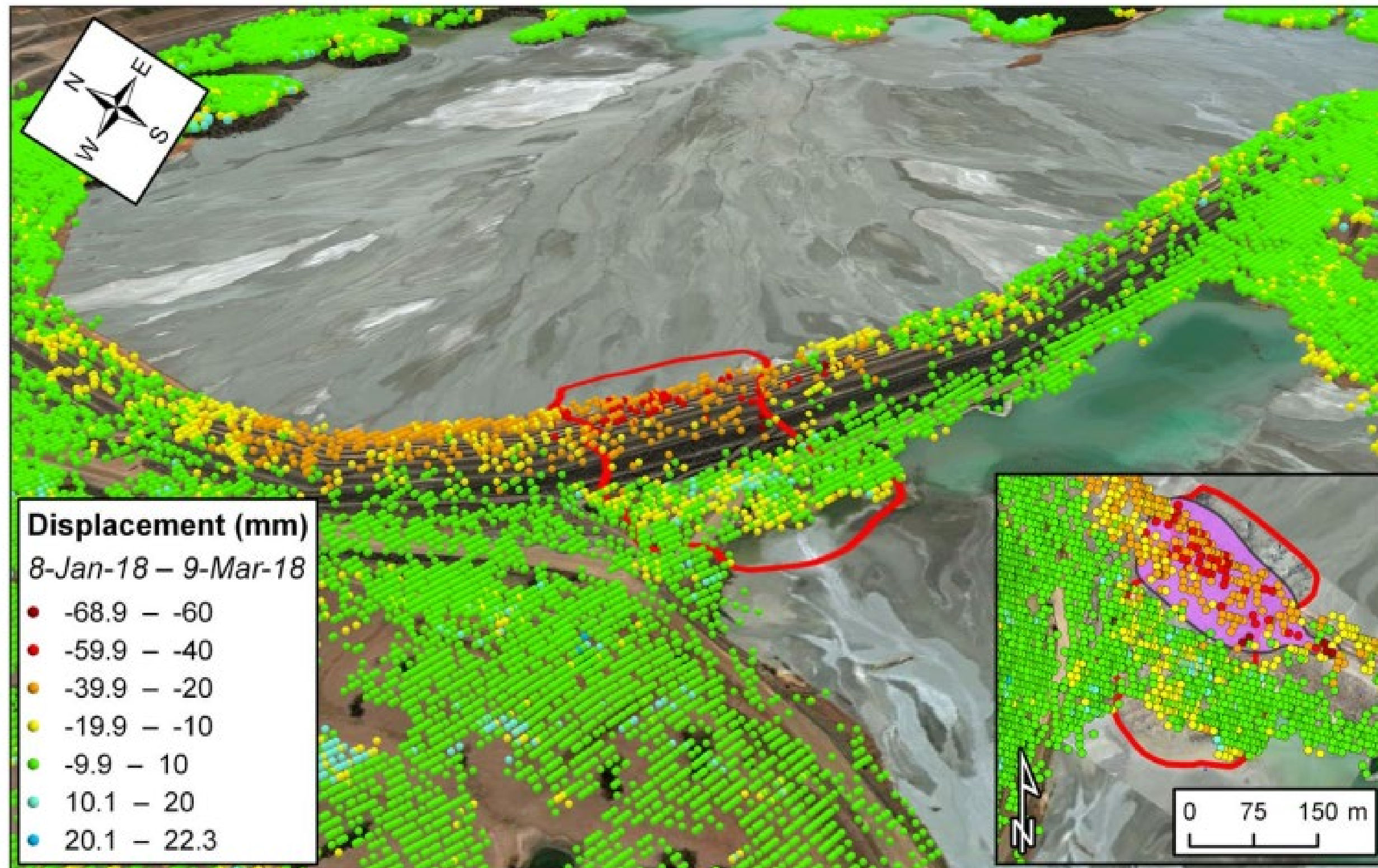




Carlà, T., Intrieri, E., Raspini, F. *et al.* Perspectives on the prediction of catastrophic slope failures from satellite InSAR. *Sci Rep* 9, 14137 (2019).

- **17 November 2016 slope failure** in a copper open-pit mine
- **Imagery:** 12-day revisit SNT imagery.
- Accelerations outside of the geotechnical monitoring area observable **starting from May 2017** in the **SqueeSAR time series**

Early detection with SqueeSAR



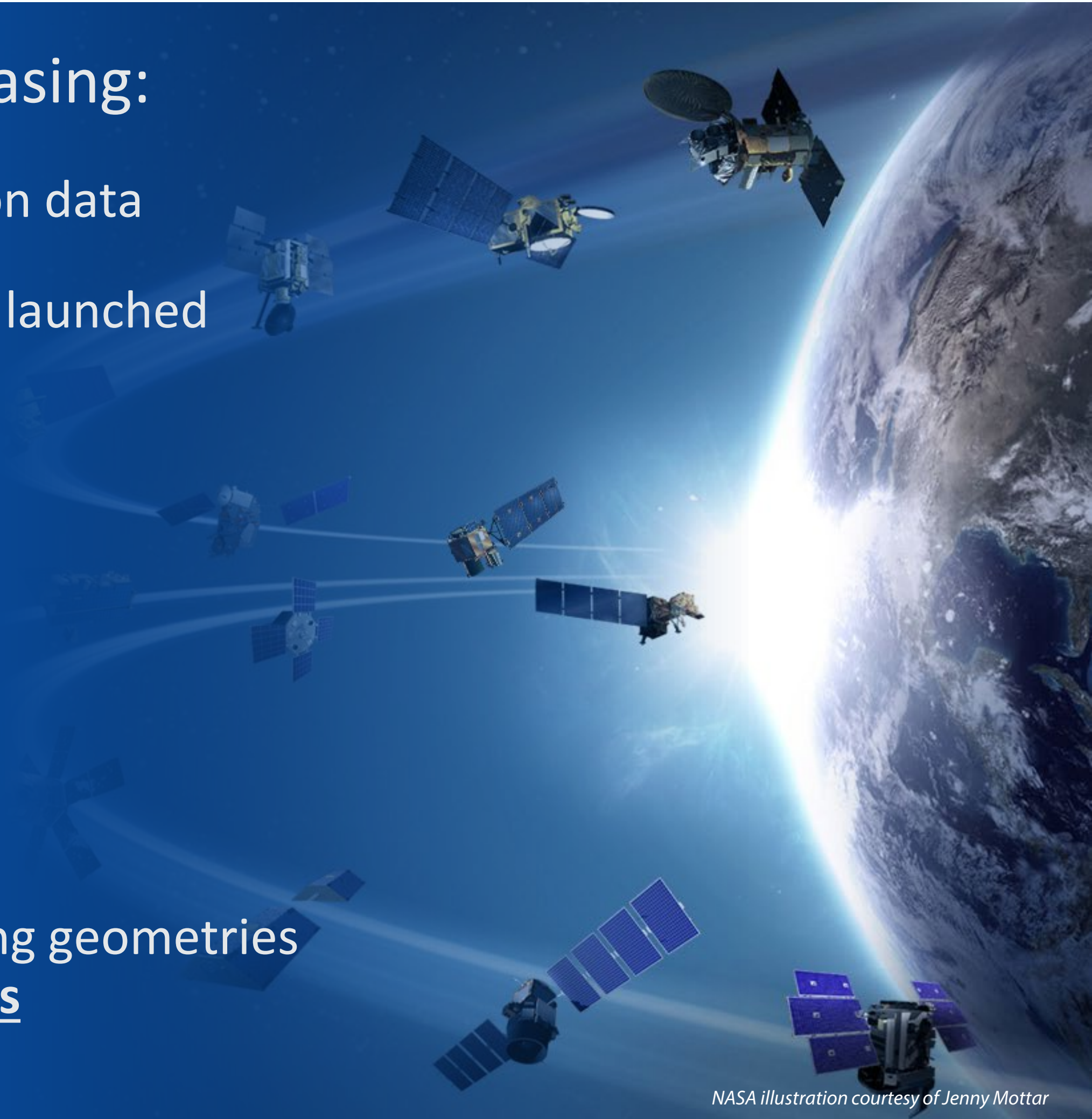
Carlà, T., Intrieri, E., Raspini, F. *et al.* Perspectives on the prediction of catastrophic slope failures from satellite InSAR. *Sci Rep* 9, 14137 (2019).

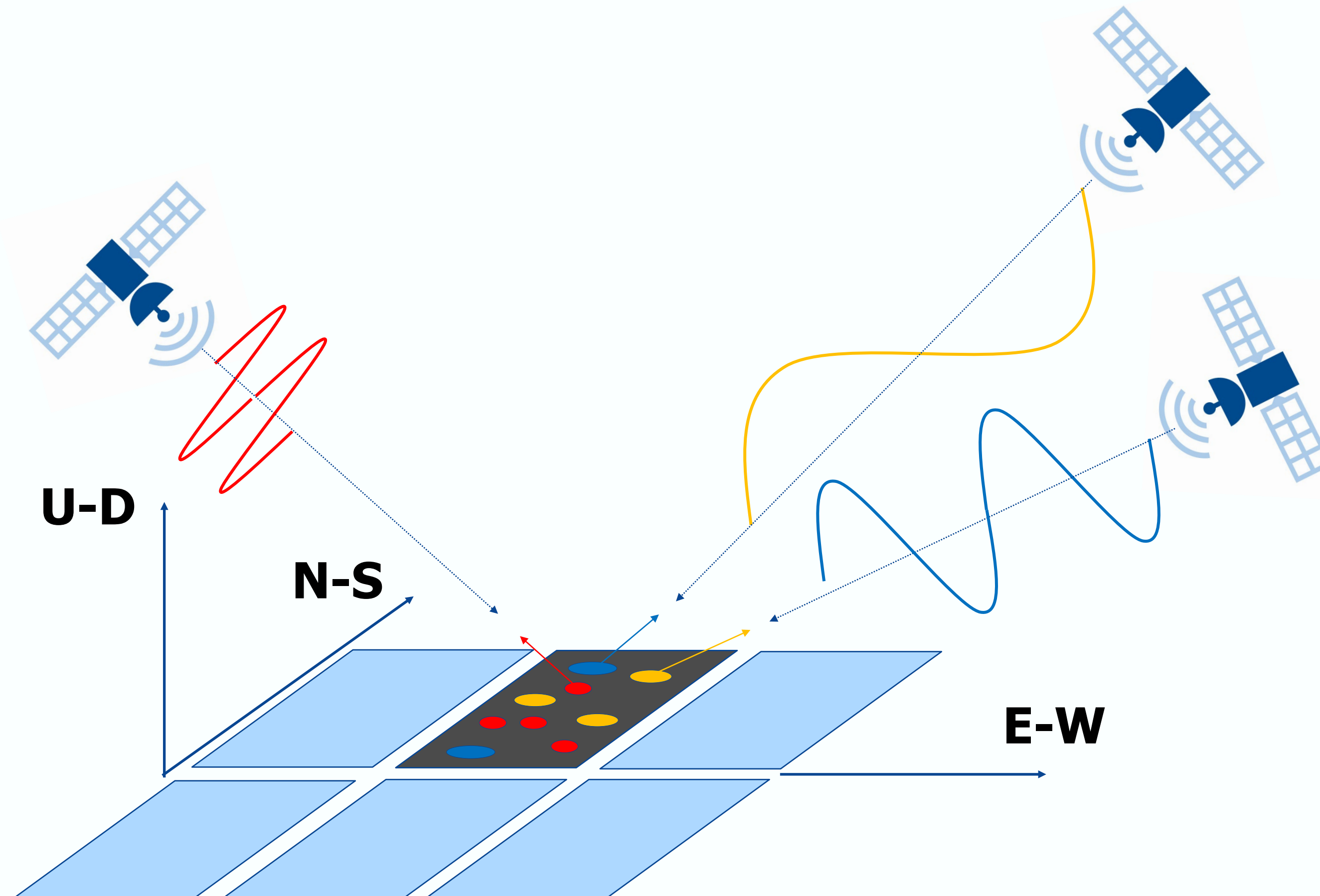
- **TSF Dam failure** occurred on **09 March 2018**
- **Imagery:** 11-day revisit TSX imagery.
- First acceleration observable in **late 2017** from the **SqueeSAR time series**

- ❑ Satellites
 - 3D measurements
- ❑ Data Fusion
- ❑ Error Bars
- ❑ Reappearing targets
- ❑ Machine Learning
- ❑ Water pond/saturation mapping

Number of SAR satellites is increasing:

- ❑ Growing demand for earth observation data
- ❑ First NASA SAR satellite, NISAR, being launched in ~~2024~~ 2025
- ❑ Several new constellations of SAR satellites operated by private companies planned:
 - Numerous small satellites in same orbit
 - Daily/hourly revisits
 - Non-polar orbits and new viewing geometries will allow full 3-D measurements



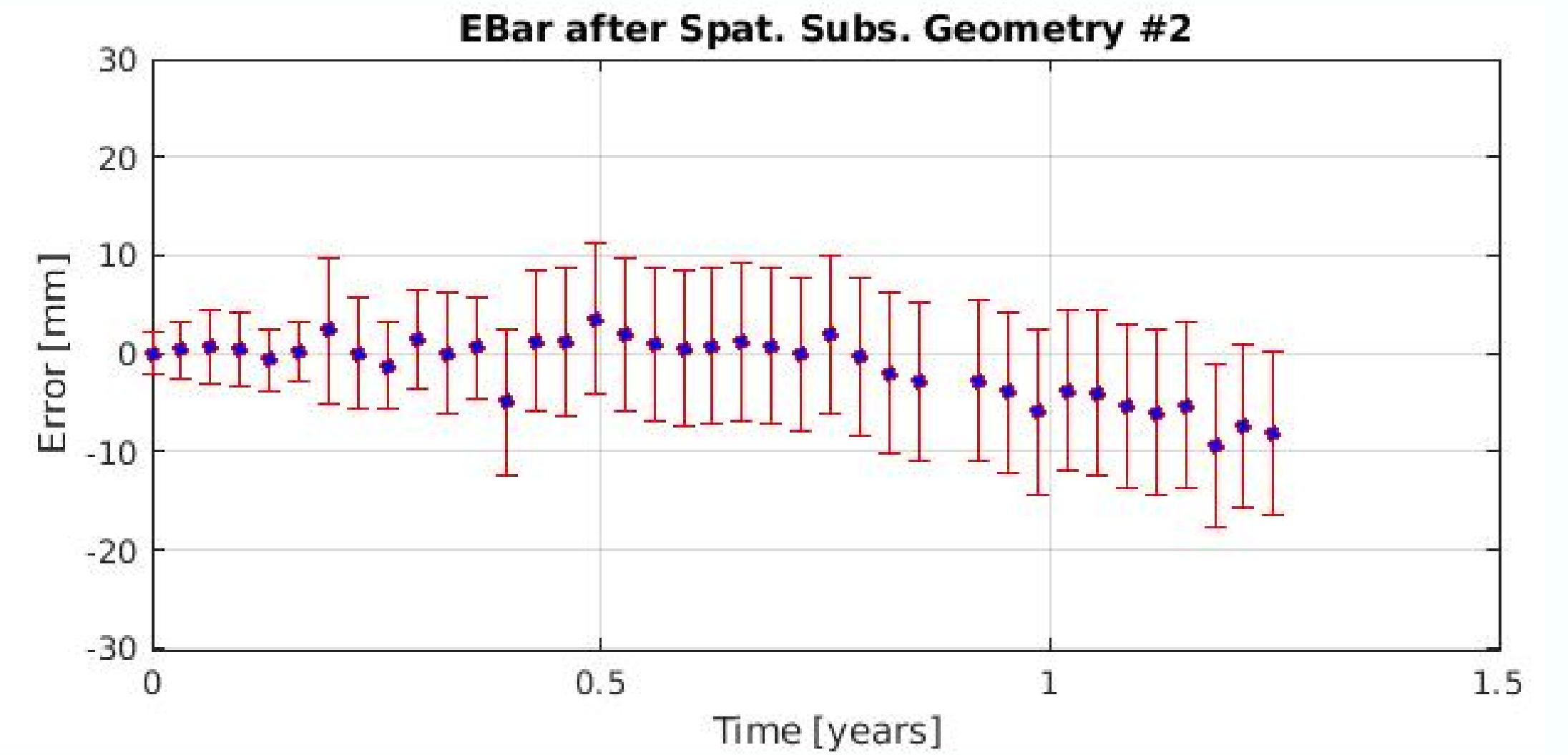
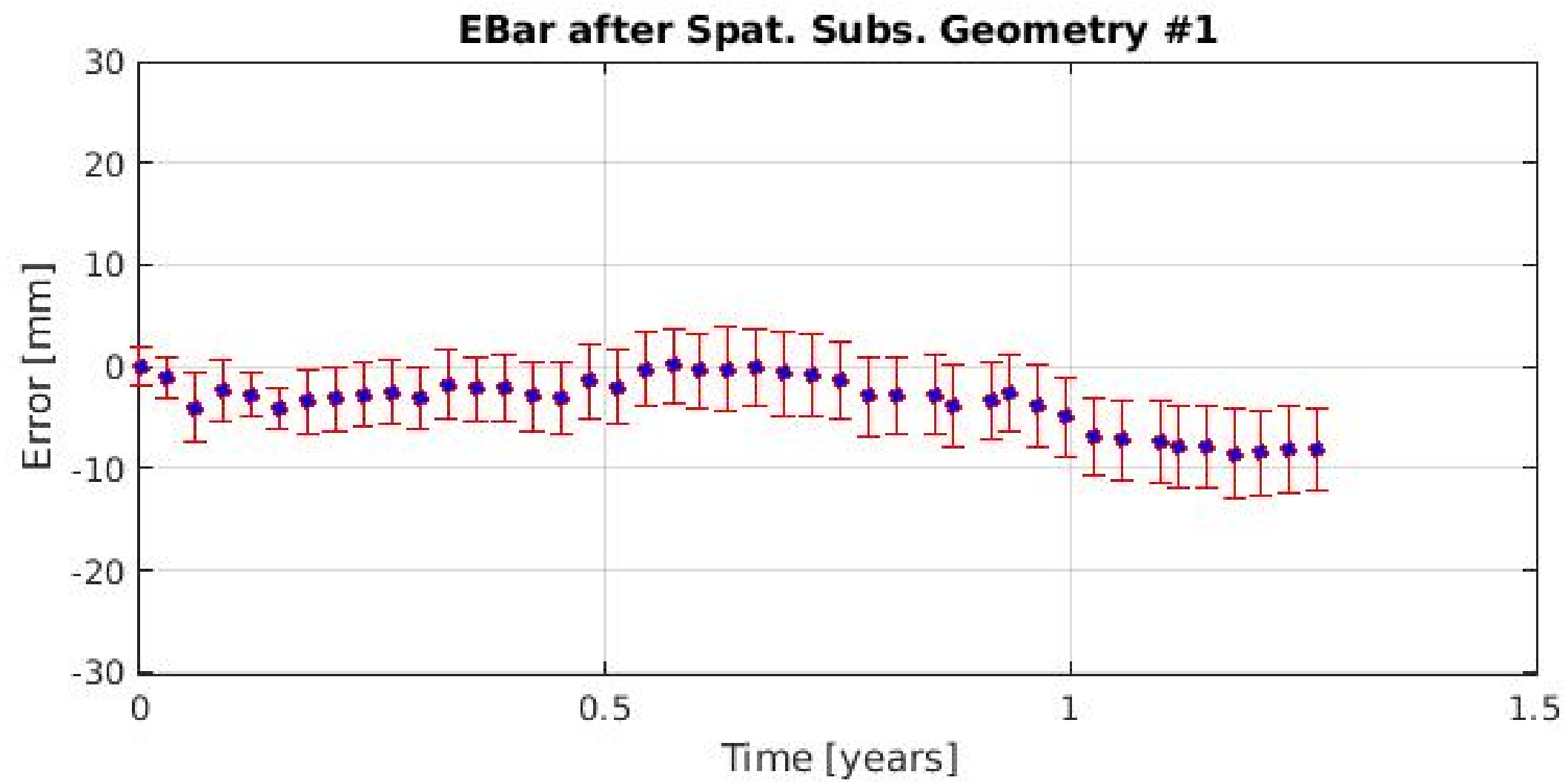


Combine InSAR measurements from different missions/sensors with diverse:

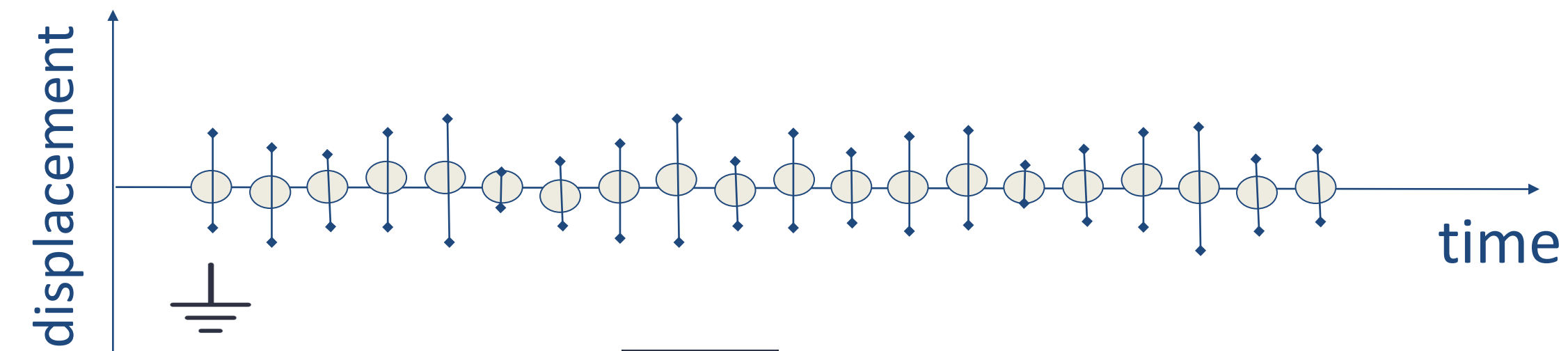
- wavelengths
- resolutions (MP density)
- geometry (A/D, look direction)
- revisit time

to obtain an advanced merged deformation product no longer related to mission design parameters and extracting and collating as much info as possible from each one

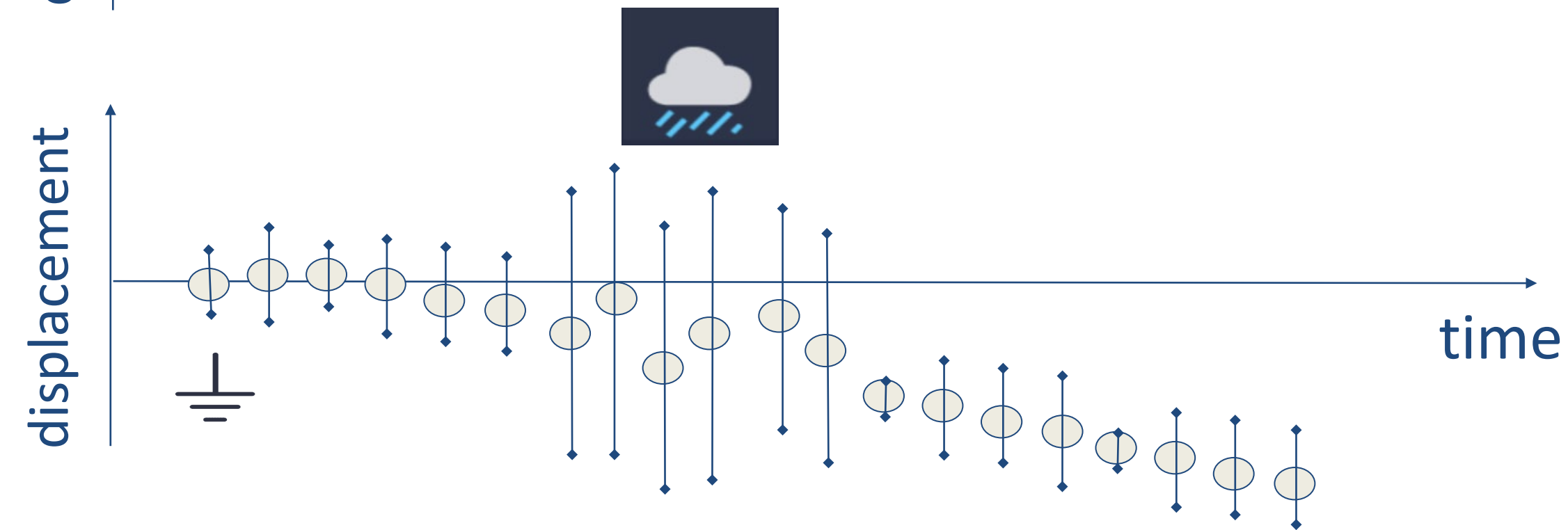
Error Bars – characterizing uncertainty



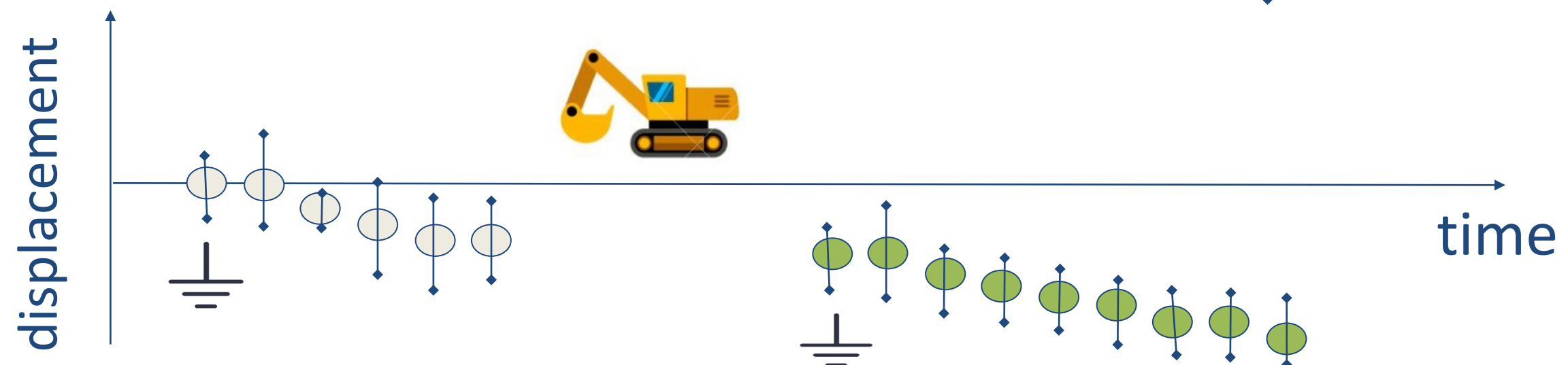
Surface changes or severe weather conditions introduce noise in the time series



Permanent target

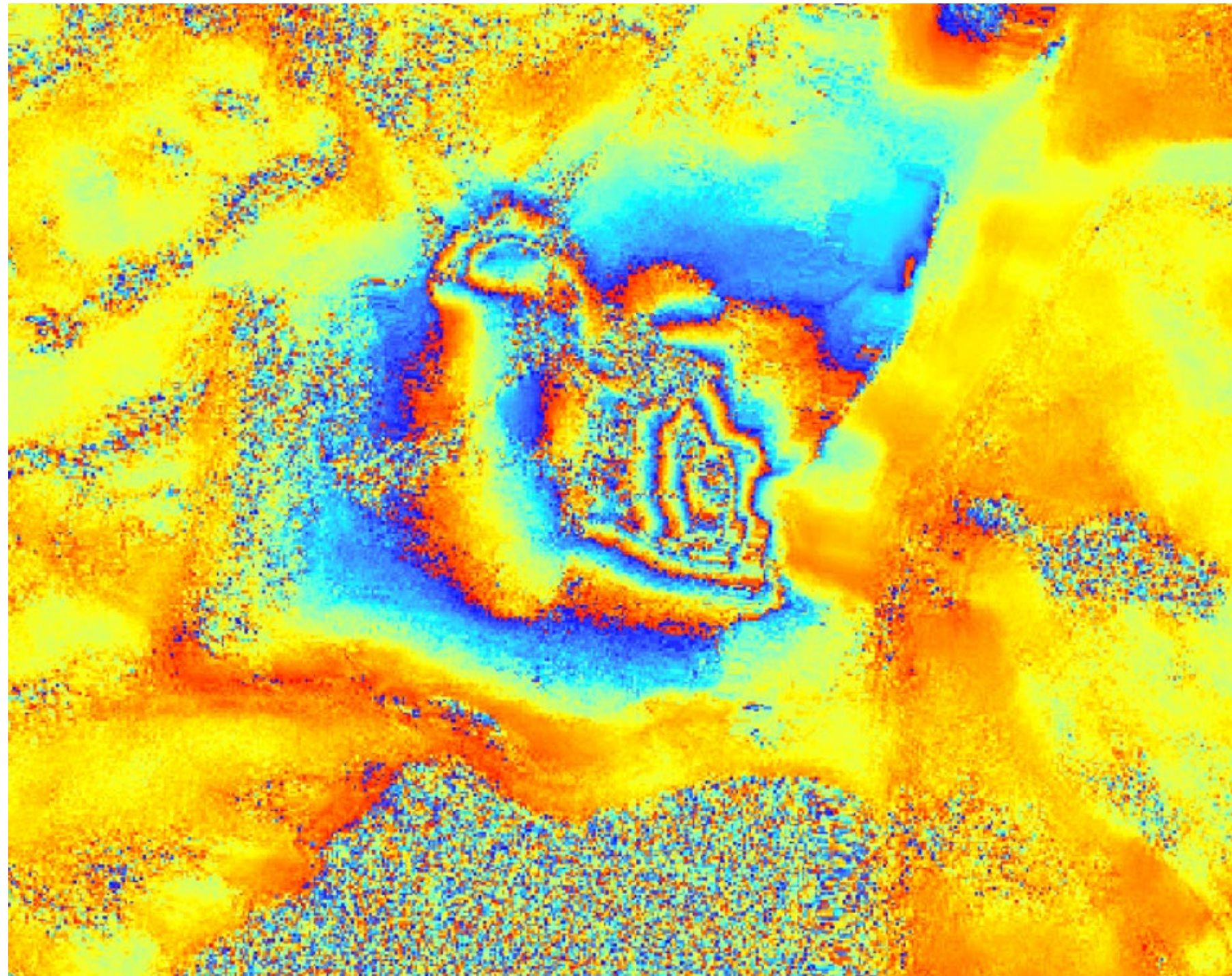


Permanent target with noisy interval

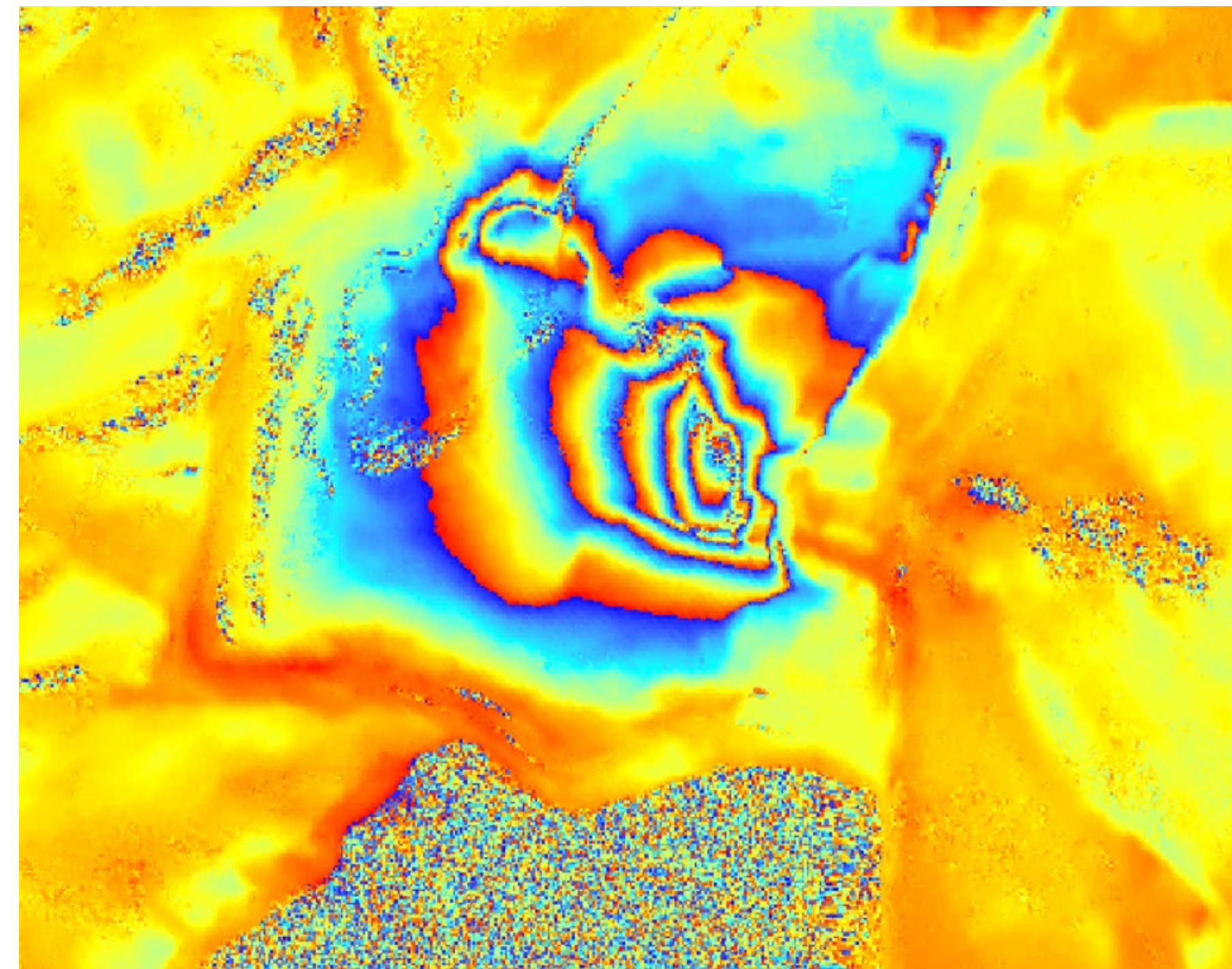


Temporary target (i.e. becomes different target after surface changes)

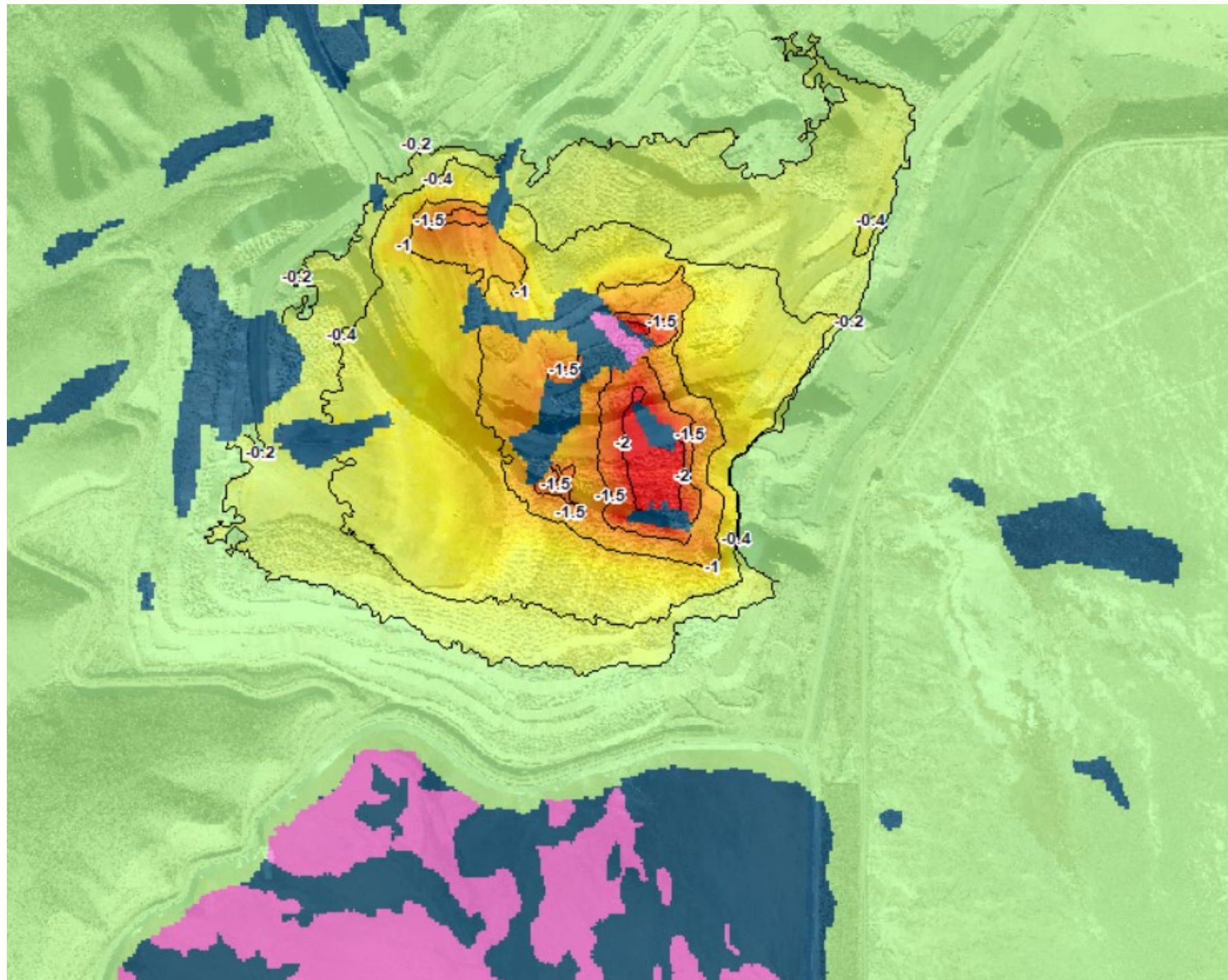
Classic Approach



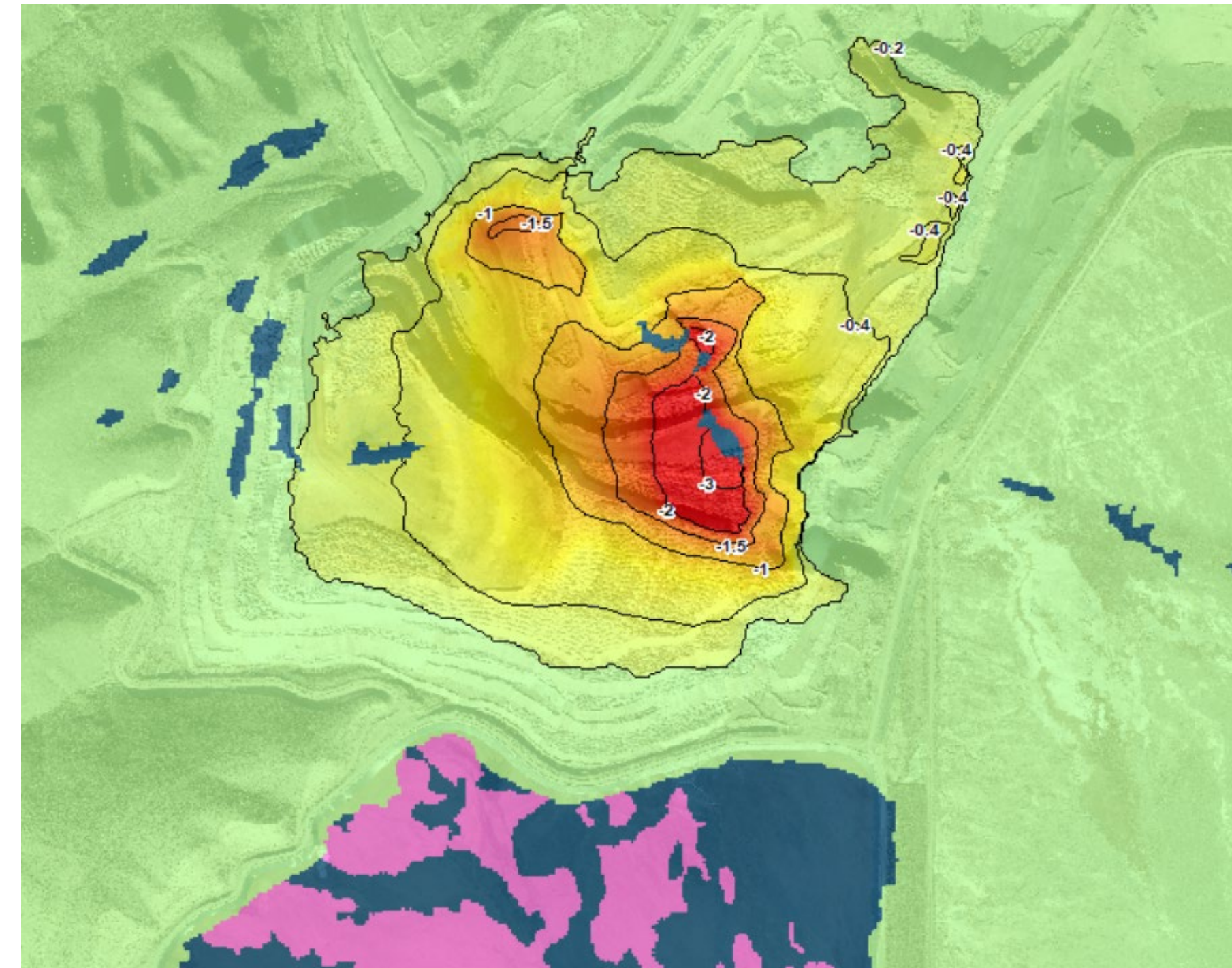
ML Approach



Classic Approach

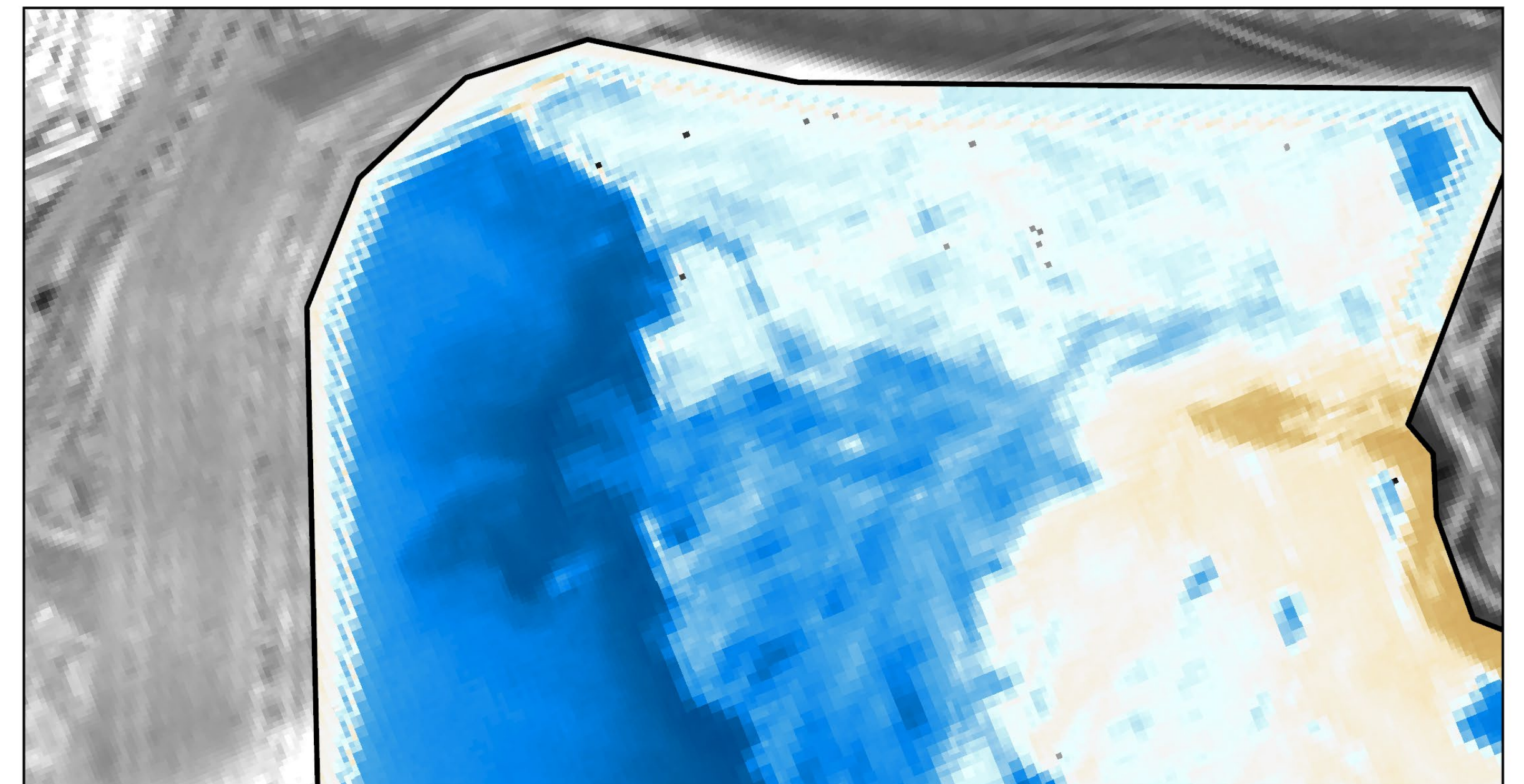


ML Approach



Water Saturation Mapping

- ❑ Accurate, repeatable approach to tracking supernatant ponding and saturation levels in TSFs
- ❑ Semi-automatic combination of EO layers
- ❑ Maps tailored to site needs and operational requirements
- ❑ Limited cloud cover requirement
 - Incorporation of SAR data for all-weather monitoring





Thank you!

Thank you

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